

Edgar Filing: ESTEE LAUDER COMPANIES INC - Form 4

ESTEE LAUDER COMPANIES INC

Form 4

October 31, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Rose, Marshall  
 667 Madison Avenue  
 23rd Floor  
 New York, NY 10021
2. Issuer Name and Ticker or Trading Symbol  
 The Estee Lauder Companies Inc.  
 EL
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 October 30, 2002
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|--|--|
|----------------------|---------------------|---------|--|--|

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---------|---|---|--|----------------|
|---------------------------------|--|---------------------|---------|---|---|--|----------------|

Stock Units (Share Pay out) | 1 for 1 | 10/30/02 | A(1) | 900.05 | A | | | Class A Common Stock | 900.05 | \$27

Stock Units (Cash Payoff) | 1 for 1 | 10/30 | A | 562.76 | A | | | Class A Common Stock | 562.76 | \$29

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|                       |         |       |              |  |   |       |       |              |          |  |  |
|-----------------------|---------|-------|--------------|--|---|-------|-------|--------------|----------|--|--|
| ut)                   |         | /02   | 3)           |  |   |       |       |              | on Stock |  |  |
| Option (Right to Buy) | \$29.32 | 10/30 | A (4 V 5,000 |  | A | 10/30 | 10/30 | Class A Comm | 5,000    |  |  |
|                       |         | /02   | )            |  |   | /03   | /12   | on Stock     |          |  |  |

Explanation of Responses:

(1) Granted pursuant to the Issuer's Non-Employee Director Share Incentive Plan. Price per unit is based on the average closing price of the Issuer's Class A Common Stock for the twenty days preceding the date of grants.

(2) Amount of derivative securities beneficially owned on October 30, 2002.

(3) Reflects grant of stock units in lieu of cash for meeting fees and quarterly retainer.

(4) Granted pursuant to the Issuer's Non-Employee Director Share Incentive Plan.