

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

AllianzGI Equity & Convertible Income Fund
Form NSAR-A
September 26, 2014

PAGE 1
000 A000000 07/31/2014
000 C000000 0001383441
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND
001 B000000 811-21989
001 C000000 2127393371
002 A000000 1633 BROADWAY
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10019
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
008 B000001 A
008 C000001 801-57798
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10019
008 A000002 ALLIANZ GLOBAL INVESTORS U.S. LLC
008 B000002 S
008 C000002 801-69803
008 D010002 NEW YORK
008 D020002 NY
008 D030002 10019
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC
010 B000001 801-57798
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10019
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
PAGE 2
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

013 B030001 10017
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC
014 B000001 8-41811
014 A000002 PIMCO INVESTMENTS LLC
014 B000002 8-68686
014 A000003 PIMCO DEUTSCHLAND GMBH
014 B000003 8-00000
014 A000004 QUESTAR CAPITAL CORPORATION
014 B000004 8-50174
014 A000005 ALLIANZ LIFE FINANCIAL SERVICES, LLC
014 B000005 8-13630
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 82
019 C000000 ALLIANZGLO
020 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
020 B000001 13-5674085
020 C000001 19
020 A000002 J.P. MORGAN SECURITIES INC.
020 B000002 13-3299429
020 C000002 14
020 A000003 MORGAN STANLEY & CO. LLC
020 B000003 13-2655998
020 C000003 13
020 A000004 DEUTSCHE BANK SECURITIES INC.
020 B000004 13-2730828
020 C000004 10
020 A000005 JEFFERIES LLC
020 B000005 95-2622900
020 C000005 9
020 A000006 STEPHENS INC.
020 B000006 71-0641478
020 C000006 8
020 A000007 OPPENHEIMER & CO. INC.
020 B000007 13-5657518
020 C000007 7
020 A000008 GOLDMAN, SACHS & CO.
020 B000008 13-5108880
020 C000008 7
PAGE 3
020 A000009 CITIGROUP GLOBAL MARKETS INC.
020 B000009 11-2418191
020 C000009 7
020 A000010 STIFEL, NICOLAUS & COMPANY, INC.
020 B000010 43-0538770
020 C000010 6
021 000000 149
022 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
022 B000001 13-5674085
022 C000001 23980
022 D000001 1513
022 A000002 CREDIT SUISSE SECURITIES (USA) LLC
022 B000002 05-0546650
022 C000002 19074
022 D000002 0
022 A000003 J.P. MORGAN SECURITIES LLC

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

022 B000003 13-3299429
022 C000003 14590
022 D000003 3606
022 A000004 WELLS FARGO SECURITIES, LLC
022 B000004 56-2326000
022 C000004 5950
022 D000004 2260
022 A000005 BARCLAYS PLC
022 B000005 06-1031656
022 C000005 4549
022 D000005 2173
022 A000006 BNP PARIBAS PRIME BROKERAGE, INC.
022 B000006 94-2842977
022 C000006 6704
022 D000006 0
022 A000007 DEUTSCHE BANK SECURITIES INC.
022 B000007 13-2730828
022 C000007 5631
022 D000007 0
022 A000008 CITIGROUP GLOBAL MARKETS INC.
022 B000008 11-2418191
022 C000008 1156
022 D000008 3511
022 A000009 JEFFERIES LLC
022 B000009 95-2622900
022 C000009 4222
022 D000009 0
022 A000010 GOLDMAN, SACHS & CO.
022 B000010 13-5108880
022 C000010 2781
022 D000010 0
023 C000000 89445
023 D000000 17085
024 000000 Y
025 A000001 WELLS FARGO SECURITIES, LLC
PAGE 4
025 B000001 56-2326000
025 C000001 E
025 D000001 14536
025 A000002 GOLDMAN, SACH & CO.
025 B000002 13-5108880
025 C000002 E
025 D000002 17080
025 A000003 J.P. MORGAN SECURITIES LLC
025 B000003 13-3299429
025 C000003 E
025 D000003 11471
025 A000004 CREDIT SUISSE SECURITIES (USA) LLC
025 B000004 05-0546650
025 C000004 E
025 D000004 5602
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N
026 B000000 N
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
	PAGE	5	
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		1.000
048	A010000		0
048	A020000	0.000	
048	B010000		0
048	B020000	0.000	
048	C010000		0

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 PAGE 6
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0

PAGE 7

066 A000000 Y
 066 G000000 Y
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y
 070 B020000 Y
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 Y
 070 E020000 N
 070 F010000 Y
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 Y
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 Y
 070 J020000 Y
 070 K010000 Y
 070 K020000 N
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 070 P010000 N
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N

071 A000000 238853
 071 B000000 216479
 071 C000000 614511
 071 D000000 35
 072 A000000 6
 072 B000000 1464
 072 C000000 9533
 072 D000000 0
 072 E000000 0

PAGE 8

072 F000000 3114
 072 G000000 0
 072 H000000 0
 072 I000000 13

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

072 J000000	65
072 K000000	0
072 L000000	40
072 M000000	13
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	39
072 S000000	55
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	30
072 X000000	3369
072 Y000000	0
072 Z000000	7628
072AA000000	27842
072BB000000	0
072CC010000	9417
072CC020000	0
072DD010000	0
072DD020000	0
072EE000000	15517
073 A010000	0.0000
073 A020000	0.0000
073 B000000	0.5600
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	3256
074 D000000	48998
074 E000000	159707
074 F000000	419328
074 G000000	0
074 H000000	0
074 I000000	230
074 J000000	2504
074 K000000	0
074 L000000	1641
074 M000000	22
074 N000000	635686
074 O000000	1560
074 P000000	548
074 Q000000	0
074 R010000	0
074 R020000	0
PAGE 9	
074 R030000	299
074 R040000	123
074 S000000	0
074 T000000	633156
074 U010000	27709
074 U020000	0
074 V010000	22.85
074 V020000	0.00
074 W000000	0.0000
074 X000000	83
074 Y000000	36171
075 A000000	0
075 B000000	627979
076 000000	19.87

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-A

077 A000000 Y
077 B000000 N
077 C000000 Y
077 D000000 Y
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000 0
081 B000000 0
082 B000000 0
083 B000000 0
084 B000000 0
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0

PAGE 10

087 A010000 COMMON STOCK
087 A020000 018829101
087 A030000 NIE
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE LAWRENCE ALTADONNA
TITLE TREASURER