

BLUE DOLPHIN ENERGY CO
Form 144
December 11, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 144
NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES
ACT OF 1933

OMB APPROVAL

OMB
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ATTENTION: ATTENTION: Transmit for filing 3 copies of
this form concurrently with either placing an order with a
broker to execute sale or executing a sale directly with a
market maker.

WORK LOCATION

1 (a) NAME OF ISSUER (Please type or print)	(b) IRS IDENT. NO.	(c) S.E.C. FILE NO
Blue Dolphin Energy Cimpany	73-1268729	0-15905
1 (d) ADDRESS OF ISSUER STREET	CITY	STATE ZIP CODE(e) TELEPHONE
801 Travis Street, Suite 2100	Houston	TX 77002 713-568-4725
2 (a) NAME OF PERSON FOR WHOSE	(b) RELATIONSHIP TO	(c) ADDRESS CITY STATE ZIP
ACCOUNT THE SECURITIES ARE TO	ISSUER	STREET CODE
BE SOLD		
Ivar Siem	Chairman of the Board	801 Travis Street, Suite 2100 Houston TX 77002

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3	(b) Name and Address	SEC USE	(c) Number	(d)	(e) Number	(f)	(g) Name
(a) Title of the Class of Securities To Be Sold	of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	ONLY Broker-Dealer File Number	of Shares or Other Units To Be Sold (See instr. 3(c))	Aggregate Market Value (See instr. 3(d))	of Shares or Other Units Outstanding (See instr. 3(e))	Approximate Date of Sale (See instr. 3(f)) (MO. DAY YR.)	of Each Securities Exchange (See instr. 3(g))
Common Stock	Merrill Lynch, Peirce, Fenner & Smith, Inc. 580 Westlake Park Blvd., Suite 1630 Houston, TX 77079		2,222	\$9,554.60	10,580,973	12/11/2013	OTCQX

INSTRUCTIONS:

1. (a) Name of issuer 3. (a) Title of the class of securities to be sold

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|----|-----|--|-----|--|
| | (b) | Issuer's I.R.S. Identification Number | (b) | Name and address of each broker through whom the securities are intended to be sold |
| | (c) | Issuer's S.E.C. file number, if any | (c) | Number of shares or other units to be sold (if debt securities, give the aggregate face amount) |
| | (d) | Issuer's address, including zip code | (d) | Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice |
| | (e) | Issuer's telephone number, including area code | (e) | Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer |
| | | | (f) | Approximate date on which the securities are to be sold |
| 2. | (a) | Name of person for whose account the securities are to be sold | (g) | Name of each securities exchange, if any, on which the securities are intended to be sold |
| | (b) | Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing) | | |
| | (c) | Such person's address, including zip code | | |

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common Stock	12/31/2001	Annual Employee 401(k) Plan Allocation	Blue Dolphin Energy Company	94	12/31/2001	N/A
Common Stock	12/31/2002	Annual Employee 401(k) Plan Allocation	Blue Dolphin Energy Company	2,128	12/31/2002	N/A

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
None.				

REMARKS: The Blue Dolphin Services Co. 401(k) Plan (the "Plan") is being terminated effective 12/27/13. All assets held in the Plan are being liquidated. Proceeds from the sale of Mr. Siem's common stock as held in the Plan are being rolled over into Mr. Siem's personal retirement account.

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such

representation as of the plan adoption or instruction date.

12/11/13
DATE OF NOTICE

/s/ Ivar Siem

(SIGNATURE)

DATE OF PLAN ADOPTION OR
GIVING OF INSTRUCTION, IF
RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)
