CareDx, Inc. Form 4 January 27, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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response...

may continue. See Instruction 1(b).

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GAGNON NEIL** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First) (Middle) CareDx, Inc. [CDNA] 3. Date of Earliest Transaction

(Check all applicable)

1370 AVENUE OF THE AMERICAS, 24TH FLOOR

(Street)

Officer (give title below)

Director

\_X\_\_ 10% Owner \_ Other (specify

4. If Amendment, Date Original

(Month/Day/Year)

01/23/2015

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10019

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Ac	quired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/23/2015		P	2,468	A	\$ 7	854,545	I	By Managing Member as General Partner of Darwin Partnership
Common Stock	01/23/2015		P	23,632	A	\$ 7	878,177	I	By Managing Member as General Partner of

								Gagnon Investment Associates	
Common Stock	01/26/2015	P	3,832	A	\$ 6.99	882,009	I	By Managing Member as General Partner of Darwin Partnership	
Common Stock	01/26/2015	P	36,677	A	\$ 6.99	918,686	I	By Managing Member as General Partner of Gagnon Investment Associates	
Common Stock	01/27/2015	P	1,714	A	\$ 7.02	920,400	I	By Managing Member as General Partner of Darwin Partnership	
Common Stock	01/27/2015	P	16,397	A	\$ 7.02	936,797	I	By Managing Member as General Partner of Gagnon Investment Associates	
Pamindar: Papart on a saparata line for each class of sequrities baneficially owned directly or indirectly									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date	Amount of	Derivative	Deriv
or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
Derivative				Securities	S	(Instr. 3 and 4)		Own
Security				Acquired				Follo
	Price of Derivative	Conversion (Month/Day/Year) or Exercise Price of Derivative	Conversion (Month/Day/Year) Execution Date, if or Exercise any (Month/Day/Year) Derivative	Conversion (Month/Day/Year) Execution Date, if Transaction or Exercise any Code Price of (Month/Day/Year) (Instr. 8) Derivative	Conversion (Month/Day/Year) Execution Date, if TransactionNumber or Exercise any Code of Price of (Month/Day/Year) (Instr. 8) Derivative Securities	Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date or Exercise any Code of (Month/Day/Year)  Price of (Month/Day/Year) (Instr. 8) Derivative  Derivative Securities	Conversion or Exercise(Month/Day/Year)Execution Date, if anyTransactionNumber CodeExpiration Date (Month/Day/Year)Amount of UnderlyingPrice of Derivative(Month/Day/Year)(Instr. 8)DerivativeSecurities	Conversion or Exercise(Month/Day/Year)Execution Date, if anyTransactionNumber CodeExpiration Date (Month/Day/Year)Amount of UnderlyingDerivativePrice of Derivative(Month/Day/Year)(Instr. 8)DerivativeSecurities(Instr. 5)DerivativeSecurities(Instr. 3 and 4)

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(A) or Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable Date or

Number of Shares

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GAGNON NEIL 1370 AVENUE OF THE AMERICAS 24TH FLOOR NEW YORK, NY 10019



### **Signatures**

/s/ Neil Gagnon 01/27/2015

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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