

SUTRON CORP
Form 5
May 20, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
McQuivey Raul S

2. Issuer Name and Ticker or Trading Symbol
SUTRON CORP [STRN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

22400 DAVIS DRIVE

(Street)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2006

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President, CEO

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

STERLING, VA 20164

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/30/2006	Â	O4	93,600	A	\$ 1.13	899,436	D	Â
Common Stock	12/22/2006	Â	G4	10,000	D	\$ ⁽¹⁾	899,436	I	By Raul S. McQuivey Trust dated 3/24/1999 ⁽²⁾
Common Stock	12/22/2006	Â	S4	5,000	D	\$ 6.7537	899,436	D	Â

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Common Stock	12/26/2006	Â	S4	5,000	D	\$ 6.8711	899,436	D	Â
Common Stock	12/27/2006	Â	S4	3,000	D	\$ 6.877	899,436	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
					(A)	(D)			
Option	\$ 1.13	10/30/2006	Â	O4	Â	93,600	Â (3) 11/01/2006	Common Stock	93,600

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McQuivey Raul S 22400 DAVIS DRIVE STERLING, VAA 20164	Â X	Â	Â Chairman, President, CEO	Â

Signatures

/s/ Raul S. McQuivey
05/20/2014
Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction amount represents a bona fide gift contribution to the Church of Jesus Christ of Latter Day Saints.
- (2) Mr. McQuivey holds 341,250 shares of Sutron Corp common stock through the Raul S. McQuivey Trust dated 3/24/1999 following the transaction reported in Table I.
- (3) Vests 18,700 shares (20%) in five installments on November 1, 1997, November 1, 1998, November 1, 1999, November 1, 2000, and November 1, 2001.

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- (4) Options granted on November 1, 1996, under the Issuer's 1996 Stock Option Plan and Stock Option Agreement.
- (5) Figure represents Options granted on October 18, 2002, under the Issuer's 2002 Stock Option Plan and Stock Option Agreement.

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