

Fitzgerald John Taylor Maloney
 Form 4
 December 06, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Fitzgerald John Taylor Maloney

2. Issuer Name and Ticker or Trading Symbol
 KINGSWAY FINANCIAL SERVICES INC [KFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
 12/06/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
 CEO and President

KINGSWAY FINANCIAL SERVICES INC., 150 PIERCE ROAD, SUITE 600

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

ITASCA, IL 60143

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or Price (D) | | |
| Common Stock | 12/06/2018 | | P | | 100 A \$ 2.21 | 904,596 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | | 100 A \$ 2.2289 | 904,696 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | | 100 A \$ 2.2293 | 904,796 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | | 100 A \$ 2.2625 | 904,896 ⁽¹⁾ | D |
| | 12/06/2018 | | P | | 100 A \$ 2.27 | 904,996 ⁽¹⁾ | D |

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| | | | | | | | | |
|--------------|------------|--|---|-------|---|-----------|------------------------|---|
| Common Stock | | | | | | | | |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.2721 | 905,096 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 300 | A | \$ 2.2725 | 905,396 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 600 | A | \$ 2.273 | 905,996 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.2731 | 906,096 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.2737 | 906,196 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.2746 | 906,296 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 1,900 | A | \$ 2.28 | 908,196 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 200 | A | \$ 2.2874 | 908,396 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.2953 | 908,496 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 500 | A | \$ 2.3 | 908,996 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.3099 | 909,096 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 100 | A | \$ 2.31 | 909,196 ⁽¹⁾ | D |
| Common Stock | 12/06/2018 | | P | 300 | A | \$ 2.32 | 909,496 ⁽¹⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|---------------------------------------|
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|---------------------------------------|

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Disposed
of (D)
(Instr. 3,
4, and 5)

Trans
(Instr

| Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|------|---|-----|-----|---------------------|--------------------|-------|--|
|------|---|-----|-----|---------------------|--------------------|-------|--|

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Fitzgerald John Taylor Maloney
KINGSWAY FINANCIAL SERVICES INC.
150 PIERCE ROAD, SUITE 600
ITASCA, IL 60143

X

CEO and President

Signatures

/s/John Taylor Maloney
Fitzgerald

12/06/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 500,000 of restricted stock issued on September 5, 2018.

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