Edgar Filing: ICU MEDICAL INC/DE - Form 4

ICU MEDI	CAL INC/DE									
Form 4	2014									
March 17, 2	ЛЛ	CT A TEC	SECU	DITIES		ZCII	ANCE C	MAISSION		PPROVAL
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check this box									Expires:	January 31, 2005
Subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERSHI SECURITIES						Estimated average burden hours per response	
Form 5 obligati may co <i>See</i> Inst 1(b).	ons ntinue. Section 17	(a) of the P	ublic U	Utility Ho	olding Co	mpai	•	Act of 1934, 1935 or Section)	n	
(Print or Type	Responses)									
LOPEZ GEORGE A Symbol							5. Relationship of Reporting Person(s) to Issuer			
						-	UIJ	(Chec	k all applicab	le)
			te of Earliest Transaction th/Day/Year) 7/2014				X_ DirectorX_ 10% Owner Officer (give titleOther (specify below)			
			Amendment, Date Original I(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN CLE	MENTE, CA 926	73						Form filed by M Person	Iore than One F	Reporting
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivativ	e Secu	ırities Acqu	ired, Disposed of	, or Beneficia	ally Owned
1.Title of Security (Instr. 3) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	03/17/2014			Х	18,400	А	\$ 31.195	468,635	D	
Common Stock	03/17/2014			S <u>(1)</u>	15,099	D	\$ 58.36 (2)	453,536	D	
Common Stock	03/17/2014			S <u>(1)</u>	3,301	D	\$ 59.3089 (<u>3)</u>	450,235	D	
Common Stock								1,186,843	I	by Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 31.195	03/17/2014		Х	18,400	10/16/2004	04/16/2014	Common Stock	18

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
LOPEZ GEORGE A 951 CALLE AMANECER SAN CLEMENTE, CA 92673	Х	Х					
Signatures							
By: Lynn DeMartini For: Geor M.D.	03/17/2	2014					

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 Plan adopted by the reporting person on February 25, 2014.

The price recorded in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$57.87 to \$58.87, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or

(2) s57.87 to \$56.87, inclusive. The reporting person undertakes to provide ICO Medical, inc., any security holder of ICO Medical, inc., of the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

The price recorded in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$58.90 to \$59.71, inclusive. The reporting person undertakes to provide ICU Medical, Inc., any security holder of ICU Medical, Inc., or

(3) the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

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Common Stock owned by George A. Lopez M.D. Second Family Limited Partnership. Dr. Lopez holds a one-percent general partnership interest in the Partnership. As general partner, he has the power to vote and power to dispose of the 1,186,843 shares owned by the Partnership and may be deemed to be beneficial owner of such shares. The Partnership acquired the shares on 2/24/99 from Trusts for the

- (4) benefit of the Lopez children, the Christopher George Lopez Children's Trust and the Nicholas George Lopez Children's Trust, which own a 99% limited partnership in the Partnership. Dr. Lopez is not trustee of and has no interest in the children's Trusts. Except to the extent of his undivided one percent general partnership interest in the assets of the Partnership, Dr. Lopez disclaims any beneficial ownership of the shares owned by the Partnership.
- (5) Transaction is the exercise of a derivative security; see Column 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.