#### Edgar Filing: SECURITY NATIONAL FINANCIAL CORP - Form 4/A

#### SECURITY NATIONAL FINANCIAL CORP

Form 4/A April 11, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Wilbur Norman G			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			SECURITY NATIONAL FINANCIAL CORP [SNFCA]	(Check all applicable)			
(Last) 2637 MUSTA	Last) (First) (Middle)  MUSTANG DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 04/07/2016	_X_ Director 10% Owner Officer (give title below) Other (specify below)			
MEAD, CO 8	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year) 04/07/2016	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ad	cauired. Disposed of, or Beneficially Owne			

·		Table	: 1 - MOII-DO	ciivative	Securi	ines Acq	lan ca, Disposca (	n, or belieficial	ly Owned
1.Title of Security (Instr. 3)		ansaction Date 2A. Deemed  tth/Day/Year) Execution Date, if any (Month/Day/Year)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership
			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Class A Common Stock	04/07/2016		S	700	D	\$ 5.07	3,840	D	
Class A Common Stock	04/07/2016		S	100	D	\$ 5.06	3,740	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	2 3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 6.05 (1)	12/07/2012		A	1,216 (1)		12/07/2013	12/07/2022	Class A Common Stock	1,216 (1)
Director Stock Option (right to buy)	\$ 4.16 (2)	12/06/2013		A	5,605 (2)		12/06/2014	12/06/2023	Class A Common Stock	5,605 (2)
Director Stock Option (right to buy)	\$ 4.16 (3)	12/07/2013		A	1,158 (3)		12/07/2014	12/07/2023	Class A Common Stock	1,158 ( <u>3)</u>
Director Stock Option (right to buy)	\$ 4.53 (4)	12/05/2014		A	5,513 (4)		12/05/2015	12/05/2024	Class A Common Stock	5,513 (4)
Director Stock Option (right to buy)	\$ 4.53 <u>(5)</u>	12/07/2014		A	1,103 (5)		12/07/2015	12/07/2024	Class A Common Stock	1,103 ( <u>5)</u>
Director Stock Option (right to buy)	\$ 6.38 (6)	12/04/2015		A	5,250 (6)		12/04/2016 <u>(6)</u>	12/04/2025	Class A Common Stock	5,250 (6)
Director Stock	\$ 6.38 (7)	12/07/2015		A	1,050 (7)		12/07/2016(7)	12/07/2025	Class A Common	1,050 (7)

Option (right to buy)

Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Wilbur Norman G 2637 MUSTANG DRIVE X MEAD, CO 80542

### **Signatures**

Reporting Person

/s/ Norman G.

Wilbur 04/11/2016

\*\*Signature of Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on December 7, 2012 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director (1) Stock Option Plan at an exercise price of \$7.42 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Stock Option Plan to reflect 5% stock dividends paid on February 1, 2013, February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 6, 2013 as an option to purchase 4,842 shares of Class A Common Stock under the 2006 Director (2) Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 7, 2013 as an option to purchase 1,000 shares of Class A Common Stock under the 2006 Director (3) Stock Option Plan at an exercise price of \$4.85 per share, but adjusted pursuant to the anti-dilution provisions of the 2006 Director Stock Option Plan to reflect 5% stock dividends paid on February 7, 2014, February 6, 2015, and February 5, 2016.
- This option was granted on December 5, 2014 as an option to purchase 5,000 shares of Class A Common Stock under the 2014 Director (4) Stock Option Plan at an exercise price of \$5.02 per share but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 7, 2014 as an option to purchase 1,000 shares of Class A Common Stock under the 2014 Director (5) Stock Option Plan at an exercise price of \$5.02 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect 5% stock dividends paid on February 6, 2015 and February 5, 2016.
- This option was granted on December 4, 2015 as an option to purchase 5,000 shares of Class A Common Stock under the 2014 Director (6) Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016. This option vests on December 4, 2016.
- This option was granted on December 7, 2015 as an option to purchase 1,000 shares of Class A Common Stock under the 2014 Director (7) Stock Option Plan at an exercise price of \$6.72 per share, but adjusted pursuant to the anti-dilution provisions of the 2014 Director Stock Option Plan to reflect a 5% stock dividend paid on February 5, 2016. This option vests on December 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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