Carlson Peter M Form 3 October 27, 2006

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WACHOVIA CORP NEW [WB] Carlson Peter M (Month/Day/Year) 10/19/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 301 S. COLLEGE STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) CHARLOTTE, NCÂ 28288 Form filed by More than One Interim Prin. Acct Off Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock  $2,459 \stackrel{(6)}{=}$ Common Stock 58.23 I By 401K Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

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1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
ESOP (Right to Buy)	04/22/2004(2)	04/22/2013	Common Stock	1,561	\$ 37.43	D	Â
ESOP (Right to Buy)	04/19/2005(3)	07/19/2014	Common Stock	3,048	\$ 44.65	D	Â
ESOP (Right to Buy)	04/18/2006(4)	04/18/2015	Common Stock	3,750	\$ 50.38	D	Â
ESOP (Right to Buy)	03/31/2007(5)	03/31/2016	Common Stock	5,625	\$ 56.05	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Carlson Peter M 301 S. COLLEGE STREET CHARLOTTE, NC 28288	Â	Â	Interim Prin. Acct Off	Â		

## **Signatures**

Peter M. Carlson 10/27/2006

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (2) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 4/22/2004
- (3) The option becomes exercisable in substanially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 04/19/2005.
- (4) The option becomes exercisable in substanially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 04/18/2006.
- (5) The option becomes exercisable in substanially equal installments on each of the five anniversaries of the date of grant (20% per year), beginning 03/31/2007.
- (6) As of 10/19/2006, includes 2,053 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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