Edgar Filing: WACHOVIA CORP NEW - Form 4

| WACHOVIA CO Form 4 March 16, 2007 | RP NEW | | | | | | | | | | |
|---|--|------------------|-------------------|------------------------|-------------------------------------|---|----------|--|-------------------|-------------|--|
| FORM 4 | | | | | | | | | | PPROVAL | |
| | UNITED S | STATES | | ITIES Al hington,] | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check this box if no longer | | | | | | | | | Expires: | January 31, | |
| subject to | STATEM | ENT OF | | GES IN E SECURI | | CIAI | LOW | NERSHIP OF | Estimated a | | |
| Section 16. Form 4 or | | | | SECURI | IIIES | | | | burden hou | | |
| Form 5 | Filed purs | suant to S | ection 16 | (a) of the | Securitie | es Ex | chang | ge Act of 1934, | response | 0.5 | |
| obligations | - | | | | | | - | f 1935 or Sectio | n | | |
| may continue. See Instruction 1(b). | | 30(h) o | of the Inv | vestment (| Company | Act | of 194 | 40 | | | |
| (Print or Type Respon | nses) | | | | | | | | | | |
| 1. Name and Address SMITH LANTY | | | Symbol | Name and | | | - | 5. Relationship of Issuer | | | |
| (Last) (L | First) (M | liddle) | | Earliest Tra | | L | - 1 | (Cheo | ck all applicable | e) | |
| (Lust) (| (14) | <i>'</i> | (Month/Da | | liisaction | | | X Director | 10% | 6 Owner | |
| SOLES BROWE | R SMITH A | | 03/15/20 | - | | | | Officer (give | | er (specify | |
| CO., WACHOVI | A TOWER | | | | | | | below) | below) | | |
| (5 | Filed(Month/Day/Year) Applicable Line) | | | | | oint/Group Filing(Check | | | | | |
| GREENSBORO, | , NC 27401-2 | 2167 | | | | | | _X_ Form filed by M Form filed by M Person | More than One Re | | |
| (City) (S | State) (| (Zip) | Table | I - Non-De | erivative S | ecurit | ties Ace | quired, Disposed o | f, or Beneficial | lly Owned | |
| | ransaction Date onth/Day/Year) | Execution any | ned n Date, if | 3. | 4. Securit mAcquired Disposed | ies (A) or of (D) 4 and 2 (A) | r) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership | - | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | | | | | | | | 33,000 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) Disposed of ((Instr. 3, 4, au 5) | or (D) | 6. Date Exer Expiration D (Month/Day/ | ate | 7. Title and A Underlying S (Instr. 3 and 4 | Securities |
|---|---|---|---|--|---|-----------|---|--------------------|---|----------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Phantom Stock Units <u>(1)</u> | \$ 0 | 03/15/2007 | | А | 958.3362 | | (2) | (2) | Common Stock | 958.3362 |

Reporting Owners

| Reporting Owner Name / Address | | | | |
|--|----------|-----------|---------|-------|
| Treforming of the channel channels | Director | 10% Owner | Officer | Other |
| SMITH LANTY L SOLES BROWER SMITH AND CO. WACHOVIA TOWER GREENSBORO, NC 27401-2167 | Х | | | |
| Signatures | | | | |
| Lanty L. Smith 03/16/2007 | 7 | | | |
| <u>**</u> Signature of Date Reporting Person | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock converts into common stock on a one-for-one basis.
- (2) Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the director's termination of service as a member of the issuer's board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.