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WACHOVIA CORP NEW

Form 3 June 26, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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Reporting Person

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement WACHOVIA CORP NEW [WB] Clark Ranjana B (Month/Day/Year) 06/19/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE WACHOVIA (Check all applicable) CENTER, Â 301 S. COLLEGE STREET 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SEVP & Chief Marketing Officer _X_ Form filed by One Reporting Person CHARLOTTE. NCÂ 28270 Form filed by More than One

(State)

(City)

Common Stock

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock $27,736 \frac{(11)}{}$ D

60.21

Reminder: Report on a separate line for each class of securities beneficially

(Zip)

SEC 1473 (7-02)

Ι

401K

owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 6. Nature of Indirect **Expiration Date** Securities Underlying Ownership Beneficial Security Conversion (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
ESOP (Right to Buy)	01/22/2000(2)	01/22/2009	Common Stock	5,498	\$ 42.91	D	Â
ESOP (Right to Buy)	01/28/2001(3)	01/28/2010	Common Stock	3,444	\$ 32	D	Â
ESOP (Right to Buy)	04/16/2003(5)	04/16/2012	Common Stock	35,000	\$ 37.98	D	Â
ESOP (Right to Buy)	04/22/2004(6)	04/22/2013	Common Stock	29,687	\$ 37.43	D	Â
ESOP (Right to Buy)	04/19/2005(7)	04/19/2014	Common Stock	12,381	\$ 44.65	D	Â
ESOP (Right to Buy)	04/18/2006(8)	04/18/2015	Common Stock	11,717	\$ 50.38	D	Â
ESOP (Right to Buy)	03/31/2007(9)	03/31/2016	Common Stock	14,240	\$ 56.05	D	Â
ESOP (Right to Buy)	02/20/2008(10)	02/28/2017	Common Stock	7,055	\$ 58.36	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
Clark Ranjana B					
ONE WACHOVIA CENTER	â	â	SEVP & Chief Marketing Officer	â	
301 S. COLLEGE STREET	А	А	A SEVP & Chief Marketing Officer	Α	
CHARLOTTE, NC 28270					

Signatures

Ranjana B.
Clark

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one year is limited to \$100,000 dollars.
- (2) The option becomes exercisable in 20% increments on each of Jan. 22, 2000, 2001, 2002, 2003, and 2004 respectively.
- (3) The option becomes exercisable in 20% increments on each of Jan. 28, 2001, 2002, 2003, 2004 and 2005 respectively.

Reporting Owners 2

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- (4) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (5) The option vest in 3 equal installments beginning 4/16/2003.
- (6) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning4/22/2004.
- (7) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning4/29/2005.
- (8) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning4/18/20006.
- (9) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 3/31/2007.
- (10) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 2/20/2008.
- (11) Includes 16,386 shares of unvested restricted stock to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.