### Edgar Filing: WACHOVIA CORP NEW - Form 4

#### WACHOVIA CORP NEW

Form 4

December 18, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

WACHOVIA CORP NEW [WB]

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

Estimated average burden hours per

response... 0.5

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

Symbol

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RADY ERNEST S TRUST

								(CI	icek an appnead	10)	
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction						
			(Month/D	(Month/Day/Year)				_X_ Director	10	10% Owner	
11455 EL C	CAMINO REAL	, SUITE	12/17/20	12/17/2007				Officer (give title		Other (specify	
200								below)	below)		
200											
	(Street)		4. If Amer	ndment, Da	te Original			6. Individual or	Joint/Group Fil	ing(Check	
			Filed(Mon	th/Day/Year	)			Applicable Line)			
			Ì	•				_X_ Form filed b	y One Reporting I	Person	
SAN DIEGO	O, CA 92130							Form filed by	y More than One F	Reporting	
SAN DIEG	O, CA 92130							Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Ac	quired, Disposed	of, or Beneficia	ally Owned	
								• •		·	
1.Title of	2. Transaction Da			3.	4. Securit			5. Amount of	6. Ownership		
Security	(Month/Day/Year		on Date, if		onAcquired			Securities	Form: Direct	Indirect	
(Instr. 3)		any	/D /FI	Code	Disposed			Beneficially	(D) or	Beneficial	
		(Month/	/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership	
								Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common										Explorer	
Stock								49,443	I	Ins.	
Stock										1115.	
Common										Ernest	
Stock								5,984,846	I	Rady Trust	
SIUCK										Rady Hust	
Common										Evelyn	
Stock								372,021	I	Rady Trust	
SIUCK										Rady Hust	
Common											
Stock								614,708	I	DHM Trust	
SIUCK											
Common								2= 000 260		American	
Stock								27,990,269	I	Assets	
SIUCK										Assets	

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Common Stock	850,422	I	Co. of the West
Preferred Stock	4,400	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units (1)	\$ 0	12/17/2007		A	41.1014	(2)	(2)	Common Stock	41.1014

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
RADY ERNEST S TRUST 11455 EL CAMINO REAL SUITE 200 SAN DIEGO, CA 92130	X						
0:							

## **Signatures**

Ernest S. Rady 12/18/2007

\*\*Signature of Person

\*\*Bate Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Phantom stock converts into common stock on a one-for-one basis.

**(2)** 

Reporting Owners 2

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Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the director's termination of service as a member of the issuer's board of directors.

#### **Remarks:**

Amendment filed on 9/18/07 erroneously included information from later filings. The information contained herein is a reflect Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.