

RANKIN VICTOIRE G

Form 5

February 17, 2009

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
RANKIN VICTOIRE G

(Last)

(First)

(Middle)

NACCO INDUSTRIES,  
INC., 5875 LANDERBROOK  
DRIVE, STE. 300

(Street)

2. Issuer Name and Ticker or Trading  
Symbol  
NACCO INDUSTRIES INC [NC]3. Statement for Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/20085. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☐ Officer (give title below) ☒ Other (specify below)

Member of a Group

MAYFIELD HEIGHTS, OH

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            |       | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|------------|-------|--------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                |                                      |                                                    |                                | Amount                                                            | (A) or (D) | Price |                                                                                            |                                                          |                                                       |
| Class A Common Stock           | 09/19/2008                           | Â                                                  | J <sup>(1)</sup>               | 29,462                                                            | A          | \$ 0  | 53,761                                                                                     | I                                                        | CTR - RAIV (A)                                        |
| Class A Common Stock           | 09/19/2008                           | Â                                                  | J <sup>(1)</sup>               | 29,462                                                            | D          | \$ 0  | 24,997                                                                                     | I                                                        | CTR 2009B GRAT - RAIV(A)                              |
|                                | 09/19/2008                           | Â                                                  | J <sup>(1)</sup>               | 5,096                                                             | D          | \$ 0  | 5,401                                                                                      | I                                                        |                                                       |

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|                            |            |   |                        |        |   |      |         |   |  |                                             |
|----------------------------|------------|---|------------------------|--------|---|------|---------|---|--|---------------------------------------------|
| Class A<br>Common<br>Stock |            |   |                        |        |   |      |         |   |  | AMR 2009A GRAT -<br>RAII                    |
| Class A<br>Common<br>Stock | 09/19/2008 | Â | <u>J<sup>(1)</sup></u> | 5,096  | A | \$ 0 | 19,283  | I |  | AMR - RAI                                   |
| Class A<br>Common<br>Stock | 09/19/2008 | Â | <u>J<sup>(1)</sup></u> | 15,255 | D | \$ 0 | 16,224  | I |  | BTR 2009 GRAT -<br>RAII                     |
| Class A<br>Common<br>Stock | 09/19/2008 | Â | <u>J<sup>(1)</sup></u> | 15,255 | A | \$ 0 | 47,310  | I |  | BTR - RAI                                   |
| Class A<br>Common<br>Stock | 09/19/2008 | Â | <u>J<sup>(1)</sup></u> | 1,286  | A | \$ 0 | 9,047   | I |  | CTR - Trust (A)                             |
| Class A<br>Common<br>Stock | 09/19/2008 | Â | <u>J<sup>(1)</sup></u> | 1,286  | D | \$ 0 | 1,214   | I |  | CTR 2009A GRAT                              |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 30,000  | I |  | AMR - (Unitrust) <sup>(8)</sup>             |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 14,000  | I |  | AMR - IRA <sup>(9)</sup>                    |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 134,630 | I |  | AMR - Main Trust - A<br><sup>(4)</sup>      |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 2,690   | I |  | AMR - RAIV <sup>(10)</sup>                  |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 1,975   | I |  | AMR - RMI<br>(Delaware) <sup>(11)</sup>     |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 28,000  | I |  | AMR - Trust3 (GC) <sup>(12)</sup>           |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 2,000   | I |  | AMR - Trust4<br>(Charities) <sup>(13)</sup> |
| Class A<br>Common<br>Stock | Â          | Â | Â                      | Â      | Â | Â    | 6       | I |  | AMR-RAIV-GP                                 |
| Class A<br>Common          | Â          | Â | Â                      | Â      | Â | Â    | 15,705  | I |  | BTR - RAIV <sup>(14)</sup>                  |

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|                            |   |   |   |   |   |   |        |   |                                        |  |
|----------------------------|---|---|---|---|---|---|--------|---|----------------------------------------|--|
| Stock                      |   |   |   |   |   |   |        |   |                                        |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 0      | I | BTR-2010GRAT-RAII                      |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 0      | I | BTR-Class A Trust                      |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 26,608 | I | By Spouse/Trust 2 (Sr.)<br><u>(15)</u> |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 6,040  | I | CRW - RAI <u>(16)</u>                  |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 38,599 | I | CRW - Trust <u>(17)</u>                |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 6,040  | I | HRB - RAI <u>(16)</u>                  |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 38,599 | I | HRB - Trust <u>(17)</u>                |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 2,116  | I | VGR - RAI <u>(18)</u>                  |  |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 20,443 | I | VGR - Trust <u>(19)</u>                |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4, and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                            |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------|-----|----------------------------------------------------------------|--------------------|------------------------------------------------------------------|----------------------------|
|                                                     |                                                                    |                                         |                                                             |                                      | (A)                                                                                                    | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                            | Amount<br>Number<br>Shares |
| Class B<br>Common                                   | \$ 0 <u>(3)</u>                                                    | 09/19/2008                              | Â                                                           | J <sup>(1)</sup>                     | 82,483                                                                                                 | Â   | Â <u>(3)</u>                                                   | Â <u>(3)</u>       | Class A<br>Common                                                | 82,4                       |

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| Stock <sup>(2)</sup>                       |                     |            |   |                  |        |        |                  |                  | Stock                      |      |
|--------------------------------------------|---------------------|------------|---|------------------|--------|--------|------------------|------------------|----------------------------|------|
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | 09/19/2008 | Â | J <sup>(1)</sup> | Â      | 82,483 | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 82,4 |
| Class B<br>Common<br>Stock                 | Â                   | 09/19/2008 | Â | J <sup>(1)</sup> | 33,182 | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 33,1 |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(7)</sup> | 09/19/2008 | Â | J <sup>(1)</sup> | Â      | 33,182 | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 33,1 |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 93,3 |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 7,5  |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(7)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 33,1 |
| Class B<br>Common<br>Stock                 | Â                   | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 0    |
| Class B<br>Common<br>Stock                 | Â                   | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 18   |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 43,9 |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 113, |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 106, |
| Class B<br>Common<br>Stock                 | \$ 0 <sup>(3)</sup> | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 7,0  |
| Class B<br>Common<br>Stock <sup>(25)</sup> | Â                   | Â          | Â | Â                | Â      | Â      | Â <sup>(3)</sup> | Â <sup>(3)</sup> | Class A<br>Common<br>Stock | 0    |

## Reporting Owners

| Reporting Owner Name / Address                                                                          | Relationships |           |         |                   |
|---------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------------------|
|                                                                                                         | Director      | 10% Owner | Officer | Other             |
| RANKIN VICTOIRE G<br>NACCO INDUSTRIES, INC.<br>5875 LANDERBROOK DRIVE, STE. 300<br>MAYFIELD HEIGHTS, OH | Â             | Â         | Â       | Member of a Group |

## Signatures

/s/ Charles A. Bittenbender,  
attorney-in-fact

02/17/2009

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred between main trust and GRAT.
- (2) As a member of a "group" deemed to own more than 10% of an equity security as a result of being a party to a Stockholders' Agreement, dated as of March 15, 1990, beneficially owned by each of the signatories to such agreement (the "Agreement"), the Reporting Person disclaims beneficial ownership of any such shares of Stock owned by any other signatory to the Agreement.
- (3) N/A
- (4) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Represents the proportionate limited partnership interest in RA4 shares, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (6) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr. Reporting Person disclaims beneficial ownership of all such shares.
- (7) No conversion or exercise occurred at this time .
- (8) Reporting Person's spouse serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (10) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (11) Represents the Reporting Person's spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI"). Reporting Person disclaims beneficial ownership of all such shares.
- (12) Reporting Person's spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person's spouse serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.
- (14) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.
- (15) Reporting Person's spouse serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin, Sr. Reporting Person disclaims beneficial ownership of all such shares.

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- (16) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person's spouse is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (17) Reporting Person's spouse serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (18) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (19) Reporting Person serves as Trustee of a Trust for the benefit of Victoire G. Rankin.
- (20) Represents Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (21) represents the Reporting Person's spouse's proportionately limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims any beneficial ownership.
- (22) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person's spouse serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (23) Represents the proportionate limited partnership interest in Rankin Associates I, LP. shares, which is held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (24) Represents shares which are held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (25) Transfer

Â

### Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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