

ORACLE CORP  
Form 4  
July 07, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LUCAS DONALD L

(Last) (First) (Middle)

C/O DELPHI ASSET MGMT  
CORPORATION, 6005 PLUMAS  
STREET, SUITE 100

(Street)

RENO, NV 89519

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
ORACLE CORP [ORCL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/05/2011

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price                                                                                         |                                                          |                                                       |
| Common Stock                    | 07/05/2011                           |                                                    | M                              |                                                                   | 26,250 | A          | \$ 19.38                                                                                      | 26,250                                                   | D                                                     |
| Common Stock                    | 07/05/2011                           |                                                    | M                              |                                                                   | 26,250 | A          | \$ 22.84                                                                                      | 52,500                                                   | D                                                     |
| Common Stock                    | 07/05/2011                           |                                                    | M                              |                                                                   | 22,500 | A          | \$ 19.59                                                                                      | 75,000                                                   | D                                                     |
| Common Stock                    | 07/05/2011                           |                                                    | M                              |                                                                   | 22,500 | A          | \$ 22.57                                                                                      | 97,500                                                   | D                                                     |
| Common Stock                    | 07/05/2011                           |                                                    | S                              |                                                                   | 97,500 | D          | \$ 32.93                                                                                      | 0                                                        | D                                                     |

# Edgar Filing: ORACLE CORP - Form 4

(2)

Common Stock 5,000 I By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8. Amount<br>or<br>Number<br>of Shares |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|----------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                            | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title                                  | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option                                     | \$ 19.38                                                              | 07/05/2011                              |                                                             | M                                       |                                                                                                              | 26,250                                                         |     | <u>(1)</u>                                                          | 05/31/2017         | Common<br>Stock                        | 26,250                              |
| Stock<br>Option                                     | \$ 22.84                                                              | 07/05/2011                              |                                                             | M                                       |                                                                                                              | 26,250                                                         |     | <u>(1)</u>                                                          | 05/31/2018         | Common<br>Stock                        | 26,250                              |
| Stock<br>Option                                     | \$ 19.59                                                              | 07/05/2011                              |                                                             | M                                       |                                                                                                              | 22,500                                                         |     | <u>(1)</u>                                                          | 05/31/2019         | Common<br>Stock                        | 22,500                              |
| Stock<br>Option                                     | \$ 22.57                                                              | 07/05/2011                              |                                                             | M                                       |                                                                                                              | 22,500                                                         |     | <u>(1)</u>                                                          | 05/31/2020         | Common<br>Stock                        | 22,500                              |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

LUCAS DONALD L  
C/O DELPHI ASSET MGMT CORPORATION  
6005 PLUMAS STREET, SUITE 100  
RENO, NV 89519

X

## Signatures

/s/ Rita S. Dickson by Rita S. Dickson, Attorney in Fact for Donald L. Lucas (POA Filed 5/28/03)

07/07/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vests 25% annually on anniversary of grant date.

This transaction was executed in multiple trades at prices ranging from \$32.81 to \$33.01. The price reported above reflects the weighted

(2) average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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