#### Edgar Filing: DELOACH HARRIS E JR - Form 3

#### DELOACH HARRIS E JR

Form 3 July 12, 2012

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Duke Energy CORP [DUK] À DELOACH HARRIS E JR (Month/Day/Year) 07/02/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 550 S. TRYON STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner \_X\_ Director \_X\_ Form filed by One Reporting Officer \_Other Person (give title below) (specify below) CHARLOTTE, NCÂ 28202 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock (1) D Â 11,020 Common Stock 333 I By Spouse Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 1. Title of Derivative Security 2. Date Exercisable and 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Employee Director Deferred Compensation Plan (1)	(2)	(3)	Common Stock	15,546	\$ <u>(4)</u>	D	Â
Non-Employee Director Stock Unit Plan (1)	(2)	(3)	Common Stock	8,153	\$ <u>(4)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Othe		
DELOACH HARRIS E JR 550 S. TRYON STREET CHARLOTTE, NC 28202	ÂX	Â	Â	Â		

# **Signatures**

David S. Maltz, attorney-in-fact for Harris E. DeLoach, Jr.

07/12/2012

er

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On July 2, 2012, Progress Energy, Inc. ("Predecessor"), merged into a subsidiary of Duke Energy Corporation ("Issuer"). In connection with the merger, each share of Predecessor's common stock was converted into the right to receive \$7083 shares of Issuer's common
- (1) with the merger, each share of Predecessor's common stock was converted into the right to receive .87083 shares of Issuer's common stock.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.
- (4) Converts to Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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