Edgar Filing: ENTERPRISE FINANCIAL SERVICES CORP - Form 4

| ENTERPRISE FINANCIAL SERVICES C Form 4 July 02, 2015 | ORP | | | | |
|--|--|---|--|--|--|
| FORM 4 UNITED STATES SE | | OMB APPROVAL | | | |
| - UNITED STATES SE | COMMISSION OMB Number: 3235-0287 | | | | |
| Check this box if no longer | Expires: January 31, | | | | |
| subject to STATEMENT OF C | NERSHIP OF Estimated average burden hours per | | | | |
| Form 4 or Form 5 Eiled pursuant to Section | | response 0.5 | | | |
| obligations may continue Section 17(a) of the Publ | on 16(a) of the Securities Exchang ic Utility Holding Company Act o he Investment Company Act of 194 | f 1935 or Section | | | |
| (Print or Type Responses) | | | | | |
| HEETER JUDITH S Syn EN | Issuer Name and Ticker or Trading bol FERPRISE FINANCIAL RVICES CORP [EFSC] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Mc | ate of Earliest Transaction nth/Day/Year))1/2015 | X_ Director 10% Owner Officer (give title Other (specify below) | | | |
| 0.11 | | | | | |
| | Amendment, Date Original l(Month/Day/Year) | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | |
| CLAYTON, MO 63105 | | Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) | Table I - Non-Derivative Securities Acc | uired, Disposed of, or Beneficially Owned | | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year) | 3. 4. Securities te, if TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or | 5. Amount of Securities6. Ownership Form: Direct7. Nature of IndirectBeneficially(D) orBeneficialOwnedIndirect (I)OwnershipFollowing(Instr. 4)(Instr. 4)ReportedTransaction(s)Image: Comparison of the second secon | | | |
| Common 07/01/2015 | Code V Amount (D) Price | (Instr. 3 and 4) | | | |
| 07/01/2015 Stock | A 1,128 A (1) | 8,531 I Trust | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. iorNumber of Derivativ Securitie: Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | S | Date | Amou Under Secur | le and unt of rlying tities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--------------------------------------|---|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | 7 (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Add | ss Relationships | | | | | |
|--|------------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| HEETER JUDITH S 150 N. MERAMEC CLAYTON, MO 63105 | Х | | | | | |
| Signatures | | | | | | |
| /s/ Judith S. Heeter | 07/02/2015 | | | | | |
| **Signature of Reporting Person | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares issued under the Non-Management Director Stock Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.