

SYNNEX CORP  
Form 4  
October 05, 2015

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
POLK DENNIS

(Last) (First) (Middle)

44201 NOBEL DRIVE

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
SYNNEX CORP [SNX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/01/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                   |
| Common<br>Stock                       | 10/01/2015                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 100                                                                                                                | D                                                                    | \$<br>84.81                             |
| Common<br>Stock                       | 10/01/2015                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 100                                                                                                                | D                                                                    | \$<br>84.19                             |
| Common<br>Stock                       | 10/01/2015                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 100                                                                                                                | D                                                                    | \$<br>84.11                             |
| Common<br>Stock                       | 10/01/2015                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 100                                                                                                                | D                                                                    | \$<br>84.07                             |
| Common<br>Stock                       | 10/01/2015                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 100                                                                                                                | D                                                                    | \$<br>83.93                             |

Edgar Filing: SYNEX CORP - Form 4

|              |            |              |       |   |          |        |   |
|--------------|------------|--------------|-------|---|----------|--------|---|
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.76 | 67,971 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.75 | 67,871 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 50    | D | \$ 83.55 | 67,821 | D |
| Common Stock | 10/01/2015 | M            | 1,250 | A | \$ 32.4  | 69,071 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 50    | D | \$ 83.55 | 69,021 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.49 | 68,921 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.45 | 68,821 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.3  | 68,721 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.24 | 68,621 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.15 | 68,521 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83.13 | 68,421 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 200   | D | \$ 83.01 | 68,221 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 83    | 68,121 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 82.98 | 68,021 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 82.86 | 67,921 | D |
| Common Stock | 10/01/2015 | <u>S</u> (1) | 100   | D | \$ 82.56 | 67,821 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: SYNnex CORP - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8.<br>D<br>S<br>(I |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|--------------------|
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 32.4                                                               | 10/01/2015                              |                                                             | M                                       | 1,250                                                                                                           | <u>(2)</u> 10/03/2022                                          | Common<br>Stock                                                     | 1,250              |

## Reporting Owners

| Reporting Owner Name / Address                        | Relationships |           |                         |       |
|-------------------------------------------------------|---------------|-----------|-------------------------|-------|
|                                                       | Director      | 10% Owner | Officer                 | Other |
| POLK DENNIS<br>44201 NOBEL DRIVE<br>FREMONT, CA 94538 | X             |           | Chief Operating Officer |       |

## Signatures

/s/ Simon Y. Leung,  
Attorney-in-Fact

10/05/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 20, 2015.

(2) This stock option is immediately exercisable as to 11,923 shares and vests as to approximately 321 shares monthly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.