

NACCO INDUSTRIES INC
Form 4
December 30, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALISON A

(Last) (First) (Middle)

**NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220**

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
12/29/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Class A Common Stock	12/29/2015		P	A	119	43.901 (1)	4,495	I	By Trust (2)
Class A Common Stock							2,116	I	By Assoc II (3)
Class A Common Stock							19,140	I	By Assoc II/Daughter 2 (4)
Class A							2,046	I	By Trust

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Common Stock			(Daughter 2) <u>(5)</u>
Class A Common Stock	200	I	Reporting Person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock	17,252	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	3,933	I	By Trust (Daughter 1) <u>(5)</u>
Class A Common Stock	200	I	Reporting Person's spouse serves as Trustee for the benefit of A. Farnham Rankin
Class A Common Stock	6	I	By Spouse (GP) <u>(6)</u>
Class A Common Stock	33,869	I	By Assoc II/Spouse <u>(7)</u>
Class A Common Stock	22,385	I	By Spouse (RA4) <u>(8)</u>
Class A Common Stock	1,975	I	By Spouse/RMI (Delaware) <u>(9)</u>
Class A Common Stock	75,461	I	By Spouse/Trust <u>(10)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(11)					(11)	(11)	Class A Common Stock	75,504
Class B Common Stock	(11)					(11)	(11)	Class A Common Stock	5,143
Class B Common Stock	(11)					(11)	(11)	Class A Common Stock	5,143
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	19
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	62,670
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	118,125
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	4,808

