### PUBLIX SUPER MARKETS INC

Form 5

January 30, 2017

## FORM 5

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number:

**OMB** 

3235-0362 January 31,

no longer subject to Section 16. Form 4 or Form

Check this box if

Expires: 2005 Estimated average

5 obligations may continue. See Instruction ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer BARNETT HOYT R Symbol PUBLIX SUPER MARKETS INC (Check all applicable) [NONE] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Other (specify \_X\_ Officer (give title (Month/Day/Year) below) below) 12/31/2016 Vice Chairman P.O. BOX 407 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

## LAKELAND, FLÂ 33802

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State)	(Zip) Tal	ble I - Non-De	erivative Secur	ities A	Acquired,	, Disposed of, or	Beneficially (	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Ownership Beneficially Form: Owned at end Of Issuer's or Indirect		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Amount	or (D)	Price	Fiscal Year (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	06/07/2016	Â	J	1,172,752	D	\$ 43.95	7,956,055	Ι	By Spouse's GRAT
Common Stock	06/07/2016	Â	J	1,172,752	A	\$ 43.95	30,076,501	I	By Spouse (1)
Common Stock	08/31/2016	Â	J	9,546,540	D	\$ 41.9	20,529,961	I	By Spouse (1)
Common Stock	08/31/2016	Â	J	9,546,540	A	\$ 41.9	17,502,595	I	By Spouse's GRAT
	11/22/2016	Â	J	96,338	A		20,626,299	I	By Spouse (1)

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	Common Stock						\$ 40.15			
	Common Stock	11/22/2016	Â	J	96,338	D	\$ 40.15	17,406,257	I	By Spouse's GRAT
	Common Stock	11/30/2016	Â	G	294,476	D	\$ 40.15	20,331,823	I	By Spouse (1)
	Common Stock	Â	Â	Â	Â	Â	Â	553,362	D	Â
	Common Stock	Â	Â	Â	Â	Â	Â	1,892.3003	I	By 401(k) (2)
	Common Stock	Â	Â	Â	Â	Â	Â	255,267.951	I	By ESOP (3)
	Common Stock	Â	Â	Â	Â	Â	Â	948,923	I	By Grandchildren's Trust
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons who respond to the collect contained in this form are not requi							

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

the form displays a currently valid OMB control number.

1. Title of Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Amou Under Secur	lying	8. Price of Derivative Security (Instr. 5)	
				4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
<b>F</b>	Director	10% Owner	Officer	Other				
BARNETT HOYT R P.O. BOX 407 LAKELAND Â FLÂ 33802	ÂΧ	Â	Vice Chairman	Â				

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# **Signatures**

/s/ Monica Allman POA on file for Hoyt R.

Barnett

01/30/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.
- (2) Acquired under the Publix Super Markets, Inc. 401(k) SMART Plan. Transaction exempt under rule 16b-3(c).
- (3) Acquired under the Publix Super Markets, Inc. Employee Stock Ownership Plan. Transaction exempt under rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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