Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

WELLS FA Form 4 March 17, 1	ARGO & COMPA 2017	ANY/MN									
	ЛЛ								OMB APP	ROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE Washington, D.C. 20549								MISSION	OMB Number:	3235-0287	
Check if no lo	this box								Expires:	January 31, 2005	
subject Sectior Form 4	to SIAIE.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								erage per 0.5	
Form 5 obligat may co <i>See</i> Ins 1(b).	ions Section 17	(a) of the	Public 1	Utility Ho	the Securities olding Compa nt Company A	ny Ac	t of 193				
(Print or Type	e Responses)										
Hardison Hope A. Symbol WEL			Symbol	In				Relationship of Reporting Person(s) to suer			
			COMPANY/MN [WFC]					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X_ Officer (give title Other (specify				
420 MON	TGOMERY STR	EET	03/15/	-	, ,		belov	/	below) ive Vice Preside	ent	
	(Street)			nendment, Ionth/Day/Y	Date Original ear)		Appl _X_	icable Line) Form filed by Oı	nt/Group Filing(ne Reporting Perso	on	
SAN FRA	NCISCO, CA 94	104					Perso		ore than One Repo	ung	
(City)	(State)	(Zip)	Ta	ble I - Nor	n-Derivative Secu	urities	Acquired	, Disposed of,	or Beneficially	Owned	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5)			(A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s	Ownership Form: Direct (D) or Indirect (I) s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
_				Code V	Amount	(D)	Price	(Instr. 3 and 4	4)		
Common Stock, \$1 2/3 Par Value	03/15/2017			М	30,068.0264 (1)	A	\$ 0	32,087.434	4 D		
Common Stock, \$1 2/3 Par Value	03/15/2017			F	14,039.0264	D	\$ 58.71	18,048.408	D		
Common Stock, \$1	03/15/2017			М	629.1792 <u>(2)</u>	А	\$ 0	18,677.587	2 D		

2/3 Par Value

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Common Stock, \$1 2/3 Par Value	03/15/2017	F	237.1792	D	\$ 58.71	18,440.408	D	
Common Stock, \$1 2/3 Par Value						11,644.1023 (3)	I	Through 401(k) Plan
Common Stock, \$1 2/3 Par Value						91,242	I	Through Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
	,			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ame Nun Shar	
2014 Performance Shares	<u>(4)</u>	03/15/2017		М	30,068.0264	(5)	(5)	Common Stock, \$1 2/3 Par Value	30,	
Restricted Share Right	<u>(6)</u>	03/15/2017		М	629.1792	(7)	<u>(7)</u>	Common Stock, \$1 2/3 Par Value	6	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hardison Hope A. 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104			Sr. Executive Vice President				

Signatures

Hope A. Hardison, by Anthony R. Augliera, as Attorney-in-Fact

**Signature of Reporting Person

03/17/2017

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent common stock of Wells Fargo & Company (the "Company") acquired on March 15, 2017 upon settlement of a
 (1) Performance Share award granted on February 25, 2014 for the three-year performance period ended December 31, 2016 (as previously disclosed on a Form 4 filed on March 2, 2017).
- (2) Number of shares represents a Restricted Share Right ("RSR") vesting on 3/15/2017. Original grant date was 2/25/2014. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (3) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of February 28, 2017, as if investable cash equivalents held by Plan were fully invested in Company common stock.
- (4) Each Performance Share represents a contingent right to receive one share of Company common stock upon vesting based on the attainment of pre-established performance goals.

Represents the final number of 2014 Performance Shares earned for the three-year performance period ended December 31, 2016. The

- (5) 2014 Performance Shares will be settled in shares of common stock of the Company on March 15, 2017. As a condition to receiving the award, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.
- (6) Each RSR represents a contingent right to receive one share of Company common stock.
- (7) These RSRs vest in three installments: one-third on 3/15/2015, 3/15/2016, and 3/15/2017. These RSRs were granted to the reporting person as part of the reporting person's 2013 annual incentive compensation award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.