Edgar Filing: Squeri Stephen J - Form 4

Squeri Steph Form 4 July 27, 201											
FORM	ЛЛ	~				~			OMB AF	PROVAL	
	••• UNITED	STATES					NGE C	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. SECURITIES Section 16. SECURITIES							Expires: Estimated a burden hour response				
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Squeri Stephen J			2. Issuer Name and Ticker or Trading Symbol AMERICAN EXPRESS CO [AXP]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (1	Middle)	3. Date of Earliest Transaction				(Check	all applicable)		
200 VESEY EXPRESS	(Month/Day/Year) 07/25/2017					Director 10% Owner X Officer (give title Other (specify below) below) Vice Chairman					
NEW YOR	(Street) K, NY 10285-500)1		endment, Da nth/Day/Year	-	1		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Me Person	ne Reporting Per	rson	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Aca	uired, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	unsaction Date 2A. Deemed			4. Securit or(A) or Dis (Instr. 3, 4	ies Ac sposed	quired l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
G				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	07/25/2017			М	32,965	А	\$ 44.54	261,665.309	D		
Common Stock	07/25/2017			М	37,486	А	\$ 49.23	299,151.309	D		
Common Stock	07/25/2017			S <u>(1)</u>	62,792	D	\$ 85.41 (2)	236,359.309	D		
Common Stock	07/25/2017			S	32,000	D	\$ 85.41 (2)	204,359.309 (<u>3)</u>	D		
								117.64 (4)	I		

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Common Stock)1(k) rust	
Reminder: Re	port on a sepa	rate line for each class Table II - Derivat	s of securities benefic	Persons w information required to displays a number.	vho respond on contained o respond un a currently va	to the collection in this form are nless the form alid OMB contro	not (9	1474 -02)	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	(e.g., pu 3. Transaction Date (Month/Day/Year)		4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ies) 6. Date Exercisab Expiration Date (Month/Day/Year	7. Title and Amount Underlying Securitie (Instr. 3 and 4)		
				Code V ((A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (Right to Buy)	\$ 44.54	07/25/2017		М	32,965	01/27/2012 <u>(5)</u>	01/27/2021	Common Stock	32,9
Employee Stock Option (Right to Buy)	\$ 49.23	07/25/2017		М	37,486	01/24/2013 <u>(5)</u>	01/24/2022	Common Stock	37,4
Reporting Owners									
	Reporting Owner Name / Address				Rel				
Squeri Step	ohen J			Director	10% Owner	Officer	Other		

Squeri Stephen J 200 VESEY STREET, AMERICAN EXPRESS TOWER NEW YORK, NY 10285-5001

Signatures

/s/ Carol V. Schwartz, attorney-in-fact	07/27/2017
**Signature of Reporting Person	Date

Vice Chairman

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported disposition represents the sale of shares to cover the cost of exercise and withholding tax obligations arising from the stock
(1) option exercise reported above and the sale of 50% of the net shares acquired from this exercise; the reporting person will retain the balance of the net shares in accordance with the Company's retention guidelines for executive officers.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$85.09 to \$85.76. The reporting person undertakes to provide to American Express Company, any security holder of American Express

- (2) Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (3) Includes shares acquired pursuant to dividend reinvestment.
- (4) Shares held in reporting person's account under the Company's Retirement Savings Plan. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.
- (5) These options became exercisable in four equal annual installments beginning on date shown as "Date Exercisable."

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.