

KEMPER J MARINER

Form 4

January 29, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
KEMPER J MARINER

(Last) (First) (Middle)

1010 GRAND BLVD.

(Street)

KANSAS CITY, MO 64106

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction
(Month/Day/Year)

01/26/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	01/26/2018		S		400	D \$ 76.91	189,276.4193 D
Common Stock	01/26/2018		S		100	D \$ 76.905	189,176.4193 D
Common Stock	01/26/2018		S		400	D \$ 76.9	188,776.4193 D
Common Stock	01/26/2018		S		100	D \$ 76.89	188,676.4193 D
Common Stock	01/26/2018		S		100	D \$ 76.88	188,576.4193 D

Edgar Filing: KEMPER J MARINER - Form 4

Common Stock	01/26/2018	S	200	D	\$ 76.87	188,376.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.855	188,276.4193	D
Common Stock	01/26/2018	S	200	D	\$ 76.85	188,076.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.84	187,976.4193	D
Common Stock	01/26/2018	S	200	D	\$ 76.83	187,776.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.825	187,676.4193	D
Common Stock	01/26/2018	S	200	D	\$ 76.82	187,476.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.815	187,376.4193	D
Common Stock	01/26/2018	S	200	D	\$ 76.81	187,176.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.78	187,076.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.77	186,976.4193	D
Common Stock	01/26/2018	S	200	D	\$ 76.75	186,776.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.74	186,676.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.73	186,576.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.71	186,476.4193	D
Common Stock	01/26/2018	S	200	D	\$ 76.67	186,276.4193	D
Common Stock	01/26/2018	S	100	D	\$ 76.655	186,176.4193	D

Common Stock						1,000	I	By Daughter - Custodial Brokerage Account
Common Stock						1,938.8979	I	By Esop
Common						1,000	I	By Son -

Edgar Filing: KEMPER J MARINER - Form 4

Stock				Custodial Brokerage Account
Common Stock	0	I		By Trust - RC Kemper For John
Common Stock	60,800	I		By Trust - TUW RC Kemper For John Mariner
Common Stock	290,397	I		Held by Kemper Realty
Common Stock	395,989	I		Held by Pioneer Service Corporation
Common Stock	2,139,786	I		By Trust - RC Kemper Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KEMPER J MARINER 1010 GRAND BLVD. KANSAS CITY, MO 64106	X		Chairman and CEO	

Signatures

/s/ John C. Pauls, Attorney-in-fact for Mr.
Kemper

01/29/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.