

RANKIN ALFRED M ET AL  
 Form 5/A  
 September 10, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**RANKIN ALFRED M ET AL**

2. Issuer Name and Ticker or Trading Symbol  
**HYSTER-YALE MATERIALS HANDLING, INC. [HY]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
 02/14/2018

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/14/2017	Â	G	7,602 D	\$ 0 175,278	I	

Represents the proportionate limited partnership interest in shares held by AMR Associates LP

Class A Common Stock	Â	Â	Â	Â	Â	Â	14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person.
Class A Common Stock	Â	Â	Â	Â	Â	Â	80,660	I	Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
Class A Common Stock	Â	Â	Â	Â	Â	Â	10,271	I	proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR
Class A Common Stock	Â	Â	Â	Â	Â	Â	951	I	Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP
Class A Common Stock	Â	Â	Â	Â	Â	Â	19	I	proportionate general partnership interest shares held by RAIV
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,087	I	Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	Â	Â	Â	Â	Â	Â	179	I	Represents the proportionate

Stock									interest in shares held by RA5
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Represents the proportionate interest in shares held by RAVI
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
Class A Common Stock	Â	Â	Â	Â	Â	Â	45	I	Proportionate Interest in shares held by RA5 held by Rankin Management Inc.
Class A Common Stock	Â	Â	Â	Â	Â	Â	44	I	Proportionate Interest in shares held by RA6 held by Rankin Management Inc.
Class A Common Stock	Â	Â	Â	Â	Â	Â	21,286	I	Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. <sup>(1)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	42,666	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin <sup>(1)</sup>
	Â	Â	Â	Â	Â	Â	26,119	I	

Class A Common Stock										proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	46,182	I		Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I		Brother's Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	19	I		Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	88,689	I		Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,051	I		Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA6
Class A	Â	Â	Â	Â	Â	Â	9,600	I		Reporting

Common Stock										Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <sup>(1)</sup>
Class A Common Stock	Â	Â	Â	Â	Â	Â	13,778	I		Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	Â	Â	Â	Â	Â	Â	13,778	I		Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I		Spouse's proportionate interest in shares held by RA VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	21,006	I		spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Date Acquired or Disposed of (Instr. 3, 4, and 5)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or

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Number  
of Shares

Class B Common Stock	12/14/2017		G	8,862	(2)	(2)	Class A Common Stock	8,862
Class B Common Stock					(2)	(2)	Class A Common Stock	14,160
Class B Common Stock					(2)	(2)	Class A Common Stock	17,556
Class B Common Stock					(2)	(2)	Class A Common Stock	18,373
Class B Common Stock					(2)	(2)	Class A Common Stock	951
Class B Common Stock					(2)	(2)	Class A Common Stock	31

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Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	1,721
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	1,975
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	21,286
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	76,330
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	26,119
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	73,166

Class B Common Stock	^	^	^	^	^	^	^ (2)	^ (2)	Class A Common Stock	747
Class B Common Stock	^	^	^	^	^	^	^ (2)	^ (2)	Class A Common Stock	9,600
Class B Common Stock	^	^	^	^	^	^	^ (2)	^ (2)	Class A Common Stock	6,889
Class B Common Stock	^	^	^	^	^	^	^ (2)	^ (2)	Class A Common Stock	6,889
Class B Common Stock	^	^	^	^	^	^	^ (2)	^ (2)	Class A Common Stock	21,006

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN ALFRED M ET AL 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124	^ X	^	^ Chairman	^



## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

09/09/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
  - (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.