Edgar Filing: BATY RODERICK R - Form 4

BATY ROD	ERICK R									
Form 4										
May 31, 201	1									
FORM	14							OMB AF	PROVAL	
	UNITED :	STATES SECU W	JRITIES A ashington			NGE C	OMMISSION	OMB Number:	3235-0287	
Check the								Expires:	January 31,	
if no longer subject to STATEMENT OF			CHANGES IN BENEFICIAL OWN				NERSHIP OF		ated average	
Section 16.			SECUE	RITIES				burden hours per		
Form 4 o Form 5								response	0.5	
obligation	n a	suant to Section				-				
may cont		a) of the Public $20(h)$ of the	•	•	· ·			1		
See Instru 1(b).	uction	30(h) of the	Investment	Compan	y Aci	. 01 194	0			
(Print or Type I	Responses)									
BATY RODERICK R Symbol			er Name and Ticker or Trading			5. Relationship of Reporting Person(s) to Issuer				
			INC [NNBR]				(Check all applicable)			
(Last)	(First) (N	Aiddle) 3. Date	of Earliest T	ransaction				••		
			/Day/Year)			X Director X Officer (give		Owner r (specify		
	EKS EDGE DING C, SUITE I	05/26/	/2011				below)	below)	r (speeny	
DR., BUILI	JING C, SUITE	12					CEO, Ch	airman, Presid	ent	
(Street)		4. If Ar	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
		Filed(M	Ionth/Day/Yea	r)			Applicable Line)			
IOUNCON	CITY TN 27604						_X_ Form filed by O Form filed by M			
JOHNSON	CITY, TN 37604						Person			
(City)	(State)	(Zip) Ta	ble I - Non-I	Derivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, in	on Date, if Transaction(A) or Disposed of (D)			of (D)	Securities	Ownership I	7. Nature of Indirect	
(Instr. 3)		any (Month/Day/Year	Code (Instr. 8)	(Instr. 3, 4	(A) or	5)	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
G			Code V	Amount	(D)	Price	(msu. 5 and 4)			
Common Stock (1)	05/26/2011		А	10,200	А	\$ 14.13	60,595	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: BATY RODERICK R - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	(Month/Day/Year) Execution Date, if T any C		4. Transactio Code (Instr. 8)	TransactiorDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options (Right to Buy) (2)	\$ 14.13	05/26/2011		A	19,000	05/26/2012	05/26/2021	Common Stock	19,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BATY RODERICK R 2000 WATERS EDGE DR. BUILDING C, SUITE 12 JOHNSON CITY, TN 37604	Х		CEO, Chairman, President				
Signatures							
/s/William C. Kelly, Jr./by Power of Attorney	of	05/31/2011	l				

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock vests 1/3 per year beginning 5/26/2012.
- (2) Options vest 1/3 per year beginning 5/26/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.