

SUMMIT FINANCIAL GROUP INC

Form 4/A

July 24, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hott Jeffrey E.

2. Issuer Name **and** Ticker or Trading
Symbol
SUMMIT FINANCIAL GROUP
INC [SMMF]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3293 PETERSBURG PIKE

(Street)

FRANKLIN, WV 26807

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
06/01/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)
06/03/2015

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/01/2015		X	0 ⁽¹⁾ A	\$ 9.75 11,890	D	
Common Stock	06/01/2015		X	4,417 ⁽²⁾ A	\$ 9.75 96,467	I	By EE Hott, Inc.
Common Stock	06/01/2015		X	1,169 ⁽³⁾ A	\$ 9.75 21,469	I	By Franklin Oil
Common Stock	06/01/2015		X	11 ⁽⁴⁾ A	\$ 9.75 411	I	As Cust for Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Subscription Rights (right to buy)	\$ 9.75	06/01/2015		X	76,700 (5)	04/10/2015 05/29/2015	Common Stock 4,411 (5)
Subscription Rights (right to buy)	\$ 9.75	06/01/2015		X	21,100 (6)	04/10/2015 05/29/2015	Common Stock 1,166 (6)
Subscription Rights (right to buy)	\$ 9.75	06/01/2015		X	200 (7)	04/10/2015 05/29/2015	Common Stock 11 (7)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hott Jeffrey E. 3293 PETERSBURG PIKE FRANKLIN, WV 26807	X			

Signatures

Teresa D. Ely, Lmted POA,
Attorney-in-Fact
07/24/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) On June 3, 2015, the reporting person filed a Form 4 reporting the acquisition of 26 shares of common stock. The correct number of shares of common stock acquired was 0. As of June 1, 2015, the reporting person owned 11,890 shares of common stock.
- (2) On June 3, 2015, the reporting person filed a Form 4 reporting the acquisition of 4,821 shares of common stock. The correct number of shares of common stock acquired was 4,417. As of June 1, 2015, the reporting person owned 96,467 shares of common stock.
- (3) On June 3, 2015, the reporting person filed a Form 4 reporting the acquisition of 1,215 shares of common stock. The correct number of shares of common stock acquired was 1,169. As of June 1, 2015, the reporting person owned 21,469 shares of common stock.
- (4) On June 3, 2015, the reporting person filed a Form 4 reporting the acquisition of 0 shares of common stock. The correct number of shares of common stock acquired was 11. As of June 1, 2015, the reporting person owned 411 shares of common stock.

On June 3, 2015, the reporting person filed a Form 4 reporting the exercise of 4,821 subscription rights to buy common stock. The correct number of subscription rights exercised was 76,700. The reporting person also reported the acquisition of 4,821 shares of common stock. The correct number of shares of common stock acquired was 4,417.
- (5) On June 3, 2015, the reporting person filed a Form 4 reporting the exercise of 1,215 subscription rights to buy common stock. The correct number of subscription rights exercised was 20,300. The reporting person also reported the acquisition of 1,215 shares of common stock. The correct number of shares of common stock acquired was 1,169.
- (6) On June 3, 2015, the reporting person filed a Form 4 reporting the exercise of 0 subscription rights to buy common stock. The correct number of subscription rights exercised was 200. The reporting person also reported the acquisition of 0 shares of common stock. The correct number of shares of common stock acquired was 11.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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