

Burkey Rick  
Form 4  
December 08, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Burkey Rick

2. Issuer Name and Ticker or Trading Symbol  
Customers Bancorp, Inc. [CUBI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1015 PENN AVENUE, SUITE 103  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
12/08/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

WYOMISSING, PA 19610

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 12/08/2017                           |  | X                              | V Amount (A) or (D) Price<br>5,000 A \$ 21.38                     | 40,919  | D  |   |
| Common Stock                    | 12/08/2017                           |  | X                              | (1) 1,413 A \$ 21.38 (1)  | 42,332  | D  |   |
| Common Stock                    | 12/08/2017                           |  | S                              | (1) 1,129 D \$ 26.74 (1)  | 41,203  | D  |   |
| Common Stock                    |                                      |  |                                |   | 2,811   | I  | By Spouse   |
| Common                          |                                      |  |                                |   | 977   | I  | By BB   |

Stock

Investment Group

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|-----------------|---|-------------------------------|
|  |  |                                      |  |                                |  | Date Exercisable   | Expiration Date |   |                               |
| Warrants                                   | \$ 21.38   | 12/08/2017                           |  | X                              | 5,000  | 06/30/2012   | 12/31/2017      | Common Stock  | 5,000                         |
| Warrants                                   | \$ 21.38   | 12/08/2017                           |  | X                              | 1,413  | 06/30/2012   | 12/31/2017      | Common Stock  | 1,413                         |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Burkey Rick<br>1015 PENN AVENUE, SUITE 103<br>WYOMISSING, PA 19610 |               |           | X       |       |

## Signatures

/s/ Rick Burkey by Carlyn D'Amico Under Power of Attorney  
12/08/2017

\_\_Signature of Reporting Person  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a "net exercise" of outstanding warrants. The reporting person received 284 shares of common stock on net exercise of warrants to purchase 1,413 shares of common stock at \$21.38 per share. 1,129 shares of common stock underlying the warrants were

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withheld in satisfaction of the exercise price, using the closing price at December 7, 2017 of \$26.74.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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