ServisFirst Bancshares, Inc.

Form 4

March 07, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

ServisFirst Bancshares, Inc. [NONE]

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

Pouncey Clarence C. III

1. Name and Address of Reporting Person *

									(CII	ck an applicabl	c)	
(Last)	(First) (M	Middle) 3. Da	3. Date of Earliest Transaction									
			th/Day/	Year)					Director	109	% Owner	
11 PINEHURST			5/2013	3					~~	_X_ Officer (give title Other (specify		
		00,1	0,2010						below)	below)		
									Exec	utive VP and CO	00	
	4. If	4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check				
	Filed	Filed(Month/Day/Year)						Applicable Line)				
			`	•					_X_ Form filed by	One Reporting P	erson	
Forms filed by More than One Depositing										eporting		
SHOAL CREEK, AL 35242 — Form fried by More than One Reporting Person												
(City)	(State)	(Zip)	Fabla I	Non I	Dani.		'a arra:	tion An	quired, Disposed	of an Danafiaia	Ile: Oremad	
, ,	, ,		rabie i -	- 11011-1	Deri	vauve S	ecuri	ues Ac	quirea, Disposea	oi, or belieficia	ny Owned	
1.Title of	2. Transaction Dat	e 2A. Deemed	3.			. Securit			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date	Date, if TransactionAcquired (A) or					r	Securities	Form: Direct	Indirect	
(Instr. 3)		any	C	ode					Beneficially	(D) or	Beneficial	
		(Month/Day/Y	ear) (I	(nstr. 8)	*			5)	Owned	Indirect (I)	(I) Ownership	
		· ·		Í					Following	(Instr. 4)		
									Reported	(Instr. 4)		
							(A)		Transaction(s)			
							or		(Instr. 3 and 4)			
			C	Code V	V A	Amount	(D)	Price	(msu. 5 una 1)			
Common									71,667	D		
Stock									/1,00/	D		
Common											By	
									333	I	Daughter	
Stock											(1)	
												
											By Entity	
											in which	
Common	02/15/2012			~		220		(2)	4 (20 (2)	T		
Stock	03/15/2013			C	1	,320	A	<u>(2)</u>	$4,620 \frac{(3)}{}$	I	Spouse	
DIOCK											Holds	
											т.,	

Interest

Edgar Filing: ServisFirst Bancshares, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Underlying (Instr. 3 and	Securities	3 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
6% Preferred Securities of ServisFirst Capital Trust II	<u>(2)</u>	03/15/2013		С	33	<u>(4)</u>	03/15/2013(4)	Common Stock	1,320	

Dalatianshin

Reporting Owners

Reporting Owner Name / Address	Ketationships					
	Director	10% Owner	Officer	Other		

Pouncey Clarence C. III

11 PINEHURST Executive VP and COO

SHOAL CREEK, AL 35242

Signatures

/s/ Clarence C. Pouncey, III 03/07/2014

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: ServisFirst Bancshares, Inc. - Form 4

- (1) The reporting person no longer has a reportable interest in 333 shares of ServisFirst Bancshares, Inc. common stock beneficially owned by the reporting person's adult daughter, and the reporting person will not include such shares in his future ownership reports.
- (2) The 6% Preferred Securities automatically and mandatorily converted into shares of ServisFirst Common Stock on March 15, 2013 at a conversion price of \$25 per share.
- The total number of shares beneficially owned by Mr. Pouncey's spouse has been adjusted to include an additional 300 shares of (3) ServisFirst Common Stock, which reflects her increase in ownership in the limited liability company that holds the shares from 30% to 33% interest.
- The 6% Preferred Securities were convertible, in whole or in part, at any time prior to the earliest to occur of maturity, issuer redemption or mandatory conversion, at the option of the holder, into ServisFirst Common Stock at a conversion price of \$25 per share. Any 6% Preferred Securities which remained outstanding on March 15, 2013 were automatically and mandatorily converted into shares of ServisFirst Common Stock on March 15, 2013 at a conversion price of \$25 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.