

MISONIX INC
Form 4
November 03, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ZAREMBA RICHARD A

(Last) (First) (Middle)
1938 NEW HIGHWAY
(Street)
FARMINGDALE, NY 11735
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MISONIX INC [MSON]

3. Date of Earliest Transaction (Month/Day/Year)
10/30/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Senior Vice President and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock, par value \$.01 | 10/30/2015 | | M | | 10,000 | A | \$ 2.96 |
| Common Stock, par value \$.01 | 10/30/2015 | | M | | 8,750 | A | \$ 2.19 |
| Common Stock, par value \$.01 | 10/30/2015 | | M | | 7,500 | A | \$ 7.67 |
| Common Stock, par | 10/30/2015 | | M | | 10,000 | A | \$ 4.68 |
| | | | | | | | 116,140 |
| | | | | | | | 124,890 |
| | | | | | | | 132,390 |
| | | | | | | | 142,390 |

value \$.01

Common
 Stock, par 10/30/2015 F 13,867 D \$ 11.04 128,523 D
 value \$.01

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Option (right to buy) | \$ 7.67 | 10/30/2015 | | M | 7,500 | 09/09/2015 09/09/2024 | Common Stock, par value \$.01 30,000 |
| Stock Option (right to buy) | \$ 2.19 | 10/30/2015 | | M | 8,750 | 09/13/2012 09/13/2021 | Common Stock, par value \$.01 35,000 |
| Stock Option (right to buy) | \$ 2.96 | 10/30/2015 | | M | 10,000 | 09/11/2013 09/11/2022 | Common Stock, par value \$.01 40,000 |
| Stock Option (right to buy) | \$ 4.68 | 10/30/2015 | | M | 10,000 | 09/10/2014 09/10/2023 | Common Stock, par value \$.01 40,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|--------------------------------|----------------------------------|
| | Director 10% Owner Officer Other |

ZAREMBA RICHARD A
1938 NEW HIGHWAY
FARMINGDALE, NY 11735

Senior Vice President and CFO

Signatures

/s/ Richard A.
Zaremba

11/02/2015

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to Issuer's Stock Option Plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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