

CME GROUP INC.
Form 4
September 03, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pieper James V.

2. Issuer Name and Ticker or Trading Symbol
CME GROUP INC. [CME]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

20 S. WACKER DRIVE

08/29/2013

MD & CAO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CHICAGO, IL 60606

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock Class A	08/29/2013		M		465 A \$ 62.83	7,756	D
Common Stock Class A	08/29/2013		S		465 ⁽¹⁾ D \$ 70.33	7,291	D
Common Stock Class A	08/29/2013		M		100 A \$ 25.4	7,391	D
Common Stock Class	08/29/2013		S		100 ⁽¹⁾ D \$ 70.33	7,291	D

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A							
Common Stock Class A	08/29/2013	M	875	A	\$ 50.39	8,166	D
Common Stock Class A	08/29/2013	S	875 ⁽¹⁾	D	\$ 70.33	7,291	D
Common Stock Class A	08/29/2013	M	1,395	A	\$ 56.87	8,686	D
Common Stock Class A	08/29/2013	S	<u>1,395</u> ⁽¹⁾	D	\$ 70.33	7,291	D
Common Stock Class A	08/29/2013	M	1,000	A	\$ 54.37	8,291	D
Common Stock Class A	08/29/2013	S	<u>1,000</u> ⁽¹⁾	D	\$ 70.33	7,291	D
Common Stock Class A	08/29/2013	M	2,220	A	\$ 54.3	9,511	D
Common Stock Class A	08/29/2013	S	<u>2,220</u> ⁽¹⁾	D	\$ 70.33	7,291	D
Common Stock Class A	08/29/2013	S	<u>2,469</u> ⁽¹⁾	D	\$ 70.33	4,822	D
Common Stock Class A	08/29/2013	S	60 ⁽¹⁾	D	\$ 70.33	4,762	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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Security			Disposed of		Date Exercisable	Expiration Date	Title	Ar or N of SH
			(D)	(A)				
Non-Qualified Stock Option (right to buy)	\$ 25.4	08/29/2013	M	100	06/14/2009 ⁽²⁾	06/14/2014	Common Stock Class A	
Non-Qualified Stock Option (right to buy)	\$ 50.39	08/29/2013	M	875	06/15/2010 ⁽³⁾	06/15/2015	Common Stock Class A	
Non-Qualified Stock Option (right to buy)	\$ 54.3	08/29/2013	M	2,220	09/15/2012 ⁽⁴⁾	09/15/2020	Common Stock Class A	2
Non-Qualified Stock Option (right to buy)	\$ 54.37	08/29/2013	M	1,000	09/15/2012 ⁽⁵⁾	09/15/2021	Common Stock Class A	1
Non-Qualified Stock Option (right to buy)	\$ 56.87	08/29/2013	M	1,395	09/15/2012 ⁽⁶⁾	09/15/2019	Common Stock Class A	1
Non-Qualified Stock Option (right to buy)	\$ 62.83	08/29/2013	M	465	03/15/2013 ⁽⁷⁾	03/15/2020	Common Stock Class A	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pieper James V. 20 S. WACKER DRIVE CHICAGO, IL 60606			MD & CAO	

Signatures

By: Margaret Austin Wright For: James Vincent Pieper 09/03/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This sale was completed pursuant to the terms of a pre-arranged trading plan established in accordance with Rule 10b5-1.
- (2) As of 6/14/2009, this option vested with respect to 100% of the granted number of shares covered by the option.

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- (3) As of 6/15/2010, this option vested with respect to 100% of the granted number of shares covered by the option.
- (4) These options were granted on September 15, 2010. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (5) These options were granted on September 15, 2011. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (6) These options were granted on September 15, 2009. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.
- (7) These options were granted on March 15, 2010. They vest over a four-year period, with 25% vesting one year after the grant date and 25% vesting on that same date in each of the following three years, subject to acceleration or termination in certain circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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