Edgar Filing: ESPEY MFG & ELECTRONICS CORP - Form 4

ESPEY MFG & ELECTRONICS CORP

Form 4

December 09, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **CORR PAUL J**

2. Issuer Name and Ticker or Trading Symbol

Issuer

ESPEY MFG & ELECTRONICS

(Check all applicable)

5. Relationship of Reporting Person(s) to

CORP [ESP]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

X Director 10% Owner Officer (give title Other (specify below)

87 RAILROAD PLACE, UNIT 306

(Zip)

12/08/2015

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SARATOGA SPRINGS, NY 12866

(State)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 1.Title of Execution Date, if Security (Month/Day/Year) (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

6. Ownership 7. Nature of 5. Amount of Securities Form: Direct Indirect Beneficially Beneficial (D) or Owned Ownership Indirect (I) (Instr. 4) (Instr. 4) Following Reported

D

(A) or

Transaction(s) Price

(Instr. 3 and 4)

Common

(City)

Stock -12/08/2015 \$.33 1/3

2,000 A M

Code V Amount (D)

12,439,416

par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 18.29	12/08/2015		M		2,000	02/21/2009	02/21/2017	Common Stock	2,000	
Stock Option	\$ 21.54						05/23/2010	05/23/2018	Common Stock	2,000	
Stock Option	\$ 17.09						02/20/2011	02/20/2019	Common Stock	2,000	
Stock Option	\$ 19.2						08/19/2012	08/19/2020	Common Stock	1,600	
Stock Option	\$ 25.1						08/26/2013	08/26/2021	Common Stock	1,600	
Stock Option	\$ 25.18						06/01/2014	06/01/2022	Common Stock	1,600	
Stock Option	\$ 27.22						08/23/2015	08/23/2023	Common Stock	1,600	
Stock Option	\$ 26.09						06/12/2017	06/12/2025	Common Stock	1,600	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
CORR PAUL J						

X

87 RAILROAD PLACE UNIT 306 SARATOGA SPRINGS, NY 12866

Signatures

/s/ Paul Corr	12/08/2015		
**Signature of	Date		

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.