

Davey Deirdre N
Form 3
February 15, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Davey Deirdre N

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/05/2007

3. Issuer Name and Ticker or Trading Symbol

AMERIPRISE FINANCIAL INC [AMP]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

1098 AMERIPRISE
FINANCIAL CENTER

(Street)

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other

(give title below) (specify below)

Sr VP Corp Comm/Cmnity Rltns

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting

Person

___ Form filed by More than One Reporting Person

MINNEAPOLIS,Â MNÂ 55474

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

4,246

D

Â

Common Stock

265

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â (1)	01/26/2013	Common Stock	3,610	\$ 21.8187	D	Â
Employee Stock Option (right to buy)	Â (2)	01/25/2014	Common Stock	7,220	\$ 31.3125	D	Â
Employee Stock Option (right to buy)	Â (3)	01/23/2015	Common Stock	8,183	\$ 32.5871	D	Â
Employee Stock Option (right to buy)	Â (4)	10/03/2015	Common Stock	6,229	\$ 35.035	D	Â
Employee Stock Option (right to buy)	Â (5)	01/27/2016	Common Stock	8,887	\$ 43.655	D	Â
Employee Stock Option (right to buy)	Â (6)	01/30/2017	Common Stock	4,602	\$ 58.73	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Davey Deirdre N 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474	Â	Â	Â Sr VP Corp Comm/Cmnity Rltns	Â	

Signatures

/s/ Thomas R. Moore for Deirdre N.
Davey

02/15/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option vests 100% on January 27, 2007.
- (2) The option vests in two equal installments beginning on January 26, 2007.
- (3) The option vests in three equal installments beginning on January 24, 2007.
- (4) The option vests in three equal installments beginning on October 3, 2007.
- (5) The option vests in four equal installments beginning on January 26, 2007.
- (6) The option vests in three equal installments beginning on January 30, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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