Edgar Filing: Sharan Kim Michelle - Form 4

Sharan Kim	Michelle										
Form 4											
February 19	, 2009										
FORM	14					GUANGI			PPROVAL		
	UNITED) STATES		RITIES A			E COMMISSION	OMB Number:	3235-0287		
Check this box if no longer criter TED VED OF CHADICES IN DEDUFFICIAL CONDEDCIN					Expires:	January 31					
subject t		MENT O	F CHAI	NGES IN	ES IN BENEFICIAL OWNERSHIP OF				Estimated average 200		
Section	Section 16. SECURITIES					burden hours per					
	Form 4 or					response	. 0.5				
Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section											
may con	tinue. Section 17			nvestment	•	- ·		on			
See Instr 1(b).	ruction	50(II)	of the f	iivestinein	. Compai	IY ACT OF	1940				
1(0).											
(Print or Type)	Responses)										
1. Name and A	2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Sharan Kim Michelle											
			AMERIPRISE FINANCIAL INC [AMP]								
(Last)	(First)	(Middle)	3. Date of	of Earliest T	ransaction		Director		% Owner		
			(Month/	Day/Year)			XOfficer (giv below)	e title Oth below)	ner (specify		
	COUNSEL'S		02/13/2	2009			· · · · · · · · · · · · · · · · · · ·	& Chief Mktg (Officer		
	098 AMERIPRIS	SE									
FINANCIA	L CENTER										
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check					
			Filed(Mo	onth/Day/Yea	r)		Applicable Line) _X_ Form filed by	One Reporting P	erson		
MINNEAP	OLIS, MN 5547	4					Form filed by M				
	0210,111(001)	•					Person				
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	Acquired, Disposed o	of, or Beneficia	lly Owned		
1.Title of	2. Transaction Date			3.	4. Securit			6. Ownership	7. Nature of		
Security	(Month/Day/Year) Executio any (Month/		n Date, if TransactionAcquired (A) or Code Disposed of (D)				Form: Direct (D) or Indirect	Indirect			
(Instr. 3)			Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			-	(I) of multeet	Ownership			
			•				•	(Instr. 4)	(Instr. 4)		
						(A)	Reported Transaction(s)				
						or	(Instr. 3 and 4)				
				Code V	Amount	(D) Price					
Reminder: Rep	port on a separate lin	e for each cl	lass of sec	urities benet	ficially own	ned directly	or indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	02/13/2009		А	1,649.56		(2)	(2)	Common Stock	1,649.56

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Sharan Kim Michelle GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474			Exec VP & Chief Mktg Officer			
Signatures						

/s/ Thomas R. Moore for Kim Michele Sharan

**Signature of Reporting Person

Date

02/19/2009

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock.
- (2) Shares of phantom stock are payable in shares of Ameriprise common stock following termination of employment or during a specified future year in accordance with The Ameriprise Financial Deferred Compensation Plan.
- (3) Includes 145.4411 phantom shares credited to the reporting person's account as a result of the deemed reinvestment of dividends allocated since phantom shares were last reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.