

ETHAN ALLEN INTERIORS INC  
Form 4  
November 29, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Callen David R

2. Issuer Name **and** Ticker or Trading  
Symbol  
ETHAN ALLEN INTERIORS INC  
[ETH]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
ETHAN ALLEN DRIVE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/27/2011

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
VP Finance & Treasurer

DANBURY, CT 06811

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/27/2012		M		1,200	A	\$ 25.71	3,700	D
Common Stock	11/27/2012		S		1,200	D	\$ 29.2625	2,500	D
Common Stock	11/27/2012		M		1,500	A	\$ 17.6	4,000	D
Common Stock	11/27/2012		S		1,500	D	\$ 29.2413	2,500	D
Common Stock	11/27/2012		M		1,875	A	\$ 11.74	4,375	D

Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 4

Common Stock	11/27/2012	S	1,875	D	\$ 29.2205	2,500	D
Common Stock	11/27/2012	M	500	A	\$ 19.07	3,000	D
Common Stock	11/27/2012	S	500	D	\$ 29.27	2,500	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (right to buy)	\$ 25.71	11/27/2012		M	1,200	06/20/2009 06/20/2018	Common Stock	1,200
Stock Option (right to buy)	\$ 17.6	11/27/2012		M	1,500	11/05/2009 11/05/2018	Common Stock	1,500
Stock Option (right to buy)	\$ 11.74	11/27/2012		M	1,875	11/12/2010 11/12/2019	Comon Stock	1,875
Stock Option (right to buy)	\$ 19.07	11/27/2012		M	500	07/26/2012 07/26/2021	Common Stock	500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Callen David R ETHAN ALLEN DRIVE DANBURY, CT 06811			VP Finance & Treasurer	

## Signatures

David R. Callen                      11/29/2012

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.