## WHALEN THADDEUS JOHN

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Day/

Form 4

January 09, 2003

SEC Form 4

## **OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE** FORM 4 COMMISSION Washington, D.C. 20549 [ ] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. . . . . 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person\* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Whalen, Thaddeus John and Ticker or Trading Month/Day/Year to Issuer Symbol (Check all applicable) 1/8/03 (Last) (First) **Greater Bay Bancorp** X Director \_ 10% Owner (Middle) **GBBK** \_ Officer (give title below) 2860 W. Bayshore Road \_ Other (specify below) 5. If Amendment, 3. I.R.S. Identification (Street) Date of Original Description Number of Reporting Palo Alto, CA 94303 (Month/Day/Year) Person, if an entity (voluntary) 7. Individual or Joint/Group (City) (State) Filing (Check Applicable Line) (Zip)

	(Σβ)								X Form file Person Form file Reporting Person	d by More		
		Table I - Non-De	erivative S	Secur	ities Acc	uired, D	ispose	d of, or Beneficia	ally Owned			
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Ye	C	Transaction Code (Instr.		4. Securities Acquired h(A) or Disposed Of (D) (Instr. 3, 4, and 5)		Securities Beneficially Owned Following	Direct (D)		ect eficial ership	
			Cod	le V	' Amou	nt A/D	Price	Reported Transaction(s  (Instr. 3 and	(I)	(Instr.	. 4)	
Common Stock	1/8/03		М		6,800	) A	\$11.4	63,185	D			
Common Stock							\$	5,744	ı	By Spor	use	
			Т	able				s Acquired, Disportants, options, c	,		Owned	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Deri-	Transaction Date E	BA. Deemed Execution Date, if	Cod	nsaction de	5. Numbe Derivative Securit Acquired or	e ties (A)	6. Date Exercisable(DE) and Expiration Date(ED)	7. Title and of Underlyin Securities (Instr. 3 a	ng	8. Price of Derivative Security (Instr.5)	9. Numbe Derivati Securiti Benefic Owned

Disposed Of

(Month/Day/Year)

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ount 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficia Owned Following

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	Security	Year)	(Month/ Day/ Year)			(D) (Instr. 3, 4 and 5)						Reported Transaction (Instr.4)
				Code	>	Α	D	DE	ED	Title	Amount or Number of Shares	
Non-Qualified Stock Option (right to buy)	\$11.47	1/8/03		М			6,800	7/21/00	4/20/04	Common Stock	6,800	\$ 0

**Explanation of Responses:** 

By:

/s/ Thaddeus John Whalen

<u>1/9/03</u>

\*\* Signature of Reporting Person

Date

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.