## HOLLOWAY ANNE M

Form 5

February 12, 2003

SEC Form 5

## **OMB APPROVAL** FORM 5 UNITED STATES SECURITIES AND EXCHANGE **COMMISSION** [ ] Check this box if no Washington, D.C. 20549 longer subject to Section 16. Form 4 OMB Number: 3235-0362 or Form 5 obligations may Expires: January 31, 2005 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP continue. Estimated average burden See Instruction 1(b). hours per response. . . . . 1.0 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section [ ] Form 3 Holdings 17(a) of the Public Utility Reported Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 [ ] Form 4 Transactions Reported Name and Address of Reporting Person\* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) to Holloway, Anne M. and Ticker or Trading Symbol Month/Year (Check all applicable) **American States Water** 12/2002 (Last) (First) X Director \_ 10% Owner Company (Middle) Officer (give title below) \_ Other 38 Barry Lane (specify below) 3. I.R.S. Identification 5. If Amendment, Number of Reporting (Street) Date of Original Description Person, if an entity Atherton, CA 94027 (Month/Year) (voluntary) 030-42-4089 7. Individual or Joint/Group (City) (State) (Zip) Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security 2.Transaction 2A. Deemed 4. Securities 6. Owner-Nature of 5. Amount of Execution Date, if Transaction (Instr. 3) Date Acquired (A) or Securities ship Indirect (Month/Day/Year) any Code Disposed Of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at end Direct (D) Ownership (Instr. 3, 4, and 5) Issuer's Fiscal Indirect (Instr. 4) Year (Instr. 3 and (Instr. 4) Amount A/D Price **VAR** Р 1016.0000 / A / VAR 3049.0000 D Common

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	Exercise Price of Deri-	Date (Month/ Day/ Year)		Transaction Code (Instr.8)	of Derivative Securities	and	Amount of Underlying Securities (Instr. 3	8. Price of Derivative Security (Instr.5)	Derivative Securities Beneficially Owned at End of Year	Securit	f ies:

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		Year)	Of (D) (Instr. 3, 4 and 5)				or Indirect (I) (Instr.4)	
			A or	DE / ED	Title / Amount or Number of Shares			

**Explanation of Responses:** 

Increase in stock is due to 3-for-2 Stock Split in June 2002	
By:	Date:

## /s/ Anne M. Holloway

\*\* Signature of Reporting Person

<u>02/10/2003</u> SEC 2270 (09-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.