## Edgar Filing: NYSE Group, Inc. - Form 4

NYSE Grou Form 4 January 26, <b>FORN</b> Check th if no lon subject t Section Form 4 Form 5 obligatio may con <i>See</i> Instr 1(b).	2007 <b>A 4</b> UNITED his box liger o 16. or Filed pur Section 17(	<b>IENT O</b> suant to s	Wa F CHAN Section 1 Public U	shington, NGES IN SECUR 6(a) of th	, D.C. 20 BENEF SITIES e Securi ding Cor	<b>549</b> ICIA ties E	LOWN Exchange y Act of	OMMISSION NERSHIP OF Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hour response	•		
(Print or Type	Kesponses)											
Putnam Gerald D Symbol				r Name <b>and</b> Group, Ind			ng	5. Relationship of Reporting Person(s) to ssuer				
				f Earliest Ti	-			(Check	all applicable	)		
C/O NYSE GROUP, INC., 11 (Month/D WALL STREET (Month/D)				-				Director X Officer (give below) Preside	give title Other (specify below) esident and Co-COO			
Filed(Mor				nth/Day/Year) Appli _X_1				Applicable Line) _X_ Form filed by O	X_Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	K, NY 10005 (State)	(Zip)	<b></b>			a	•.•	Person				
							-	iired, Disposed of,		•		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8)	4. Securi or(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount	(D)	Price	(Insu: 5 and 4)				
Stock, par value \$0.01 per share	01/24/2007			S	100 <u>(1)</u>	D	\$ 100.44	804,697	Ι	See Footnote $\frac{(2)}{2}$		
Common Stock, par value \$0.01 per share	01/24/2007			S	100 <u>(1)</u>	D	\$ 100.46	804,597	I	See Footnote		
Common Stock, par	01/24/2007			S	100 (1)	D	\$ 100.48	804,497	Ι	See Footnote		

value \$0.01 per share							(2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.58	804,397	Ι	See Footnote $(2)$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.68	804,297	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.69	804,197	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.7	804,097	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.77	803,997	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.79	803,897	Ι	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.81	803,797	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.83	803,697	Ι	See Footnote
Common Stock, par value	01/24/2007	S	100 <u>(1)</u> D	\$ 100.85	803,597	Ι	See Footnote

\$0.01 per share							
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 100.97	803,497	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101	803,397	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.01	803,297	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.08	803,197	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.1	803,097	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.14	802,997	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.15	802,897	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.17	802,797	I	See Footnote (2)
Common Stock, par value \$0.01 per	01/24/2007	S	100 <u>(1)</u> D	\$ 101.24	802,697	Ι	See Footnote

share

Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.26	802,597	Ι	See Footnote $(2)$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.27	802,497	Ι	See Footnote (2)
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.29	802,397	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.32	802,297	I	See Footnote
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.33	802,197	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.36	802,097	I	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.37	801,997	Ι	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.4	801,897	Ι	See Footnote $\frac{(2)}{2}$
Common Stock, par value \$0.01 per share	01/24/2007	S	100 <u>(1)</u> D	\$ 101.42	801,797 <u>(3)</u>	I	See Footnote $(2)$

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting officer (unit) (read officer	Director	10% Owner	Officer	Other				
Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005			President and Co-COO					
Signatures								
Cornelius M. Courtney under P 4/27/2006	OA dated	1	01/26/2007					

<u>\*\*Signature of Reporting Person</u> Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Represents shares owned by Leiscester Enterprises LLC.
- (2) Mr. Putnam owns a controlling interest in Leiscester Enterprises LLC.
- (3) See Footnote 3 on report 5 of 5 for January 24, 2007, for a description of the indirect ownership of Mr. Putnam's holdings at the conclusion of the transactions effected on January 24, 2007.

#### **Remarks:**

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This is report 3 of 5 for transactions effected on January 24, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.