NYSE Group, Inc. Form 4 April 05, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common Stock, par

value \$0.01 per share

04/04/2007

(Print or Type Responses)

1. Name and Address of Reporting Person * THAIN JOHN A			ssuer Name and bol SE Group, Inc	Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M	iddle) 3. Da	ate of Earliest Tr	ransaction	(Cli	еск ан аррисаог	<i>5)</i>	
			nth/Day/Year)		_X_ Director	109		
11 WALL STREET, C/O NYSE GROUP, INC.			04/2007		_X_ Officer (give title Other (specify below)			
					Chief Executive Officer			
(Street)			Amendment, Da	nte Original	6. Individual or Joint/Group Filing(Check			
		Filed	l(Month/Day/Year	·)	Applicable Line) _X_ Form filed b	y One Reporting P	erson	
NEW YORK	K, NY 10005				Form filed by Person	More than One R	eporting	
(City)	(State)	Zip)	Table I - Non-E	Derivative Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Dat	e, if Transacti	onAcquired (A) or	Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial	
		(Month/Day/Y	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership	
					Following	(Instr. 4)	(Instr. 4)	
				(A)	Reported Transaction(s)			

Code V Amount

100

J(1)

or

(D)

D

Price

(1)

0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	04/04/2007		J <u>(1)</u>	30,151	<u>(2)</u>	(2)	Common Stock, par value \$0.01 per share	30,151
Employee Stock Options (Right to buy)	\$ 99.5	04/04/2007		J <u>(1)</u>	30,102	(3)	02/02/2017	Common Stock, par value \$0.01 per share	30,102

Reporting Owners

Reporting Owner Name / Address	Relationships				
reporting owner rune, runers	Director	10% Owner	Officer	Other	
THAIN JOHN A 11 WALL STREET C/O NYSE GROUP, INC. NEW YORK, NY 10005	X		Chief Executive Officer		

Signatures

By Cornelius M. Courtney under POA dated June 1, 2006 04/05/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Disposed of in exchange for an equal number of equivalent securities of NYSE Euronext in connection with the consummation on April (1) 4, 2007, of the transactions contemplated by the Amended and Restated Combination Agreement dated November 27, 2007 by and among the Issuer, NYSE Euronext, Inc., Euronext N.V. and Jefferson Merger Sub, Inc.
- Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's Common Stock. RSUs vest and shares are delivered in three equal installments on each of February 3, 2008, 2009, and 2010, subject to the Reporting Person's continued employment with the Issuer.

Reporting Owners 2

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Options vest and become exercisable in three equal installments on each of February 3, 2008, 2009, and 2010, subject to the Reporting Person's continued employment with the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.