Edgar Filing: HA NGOC TUAN - Form 4

HA NGOC T Form 4 February 17, 2										
FORM	Л	 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040 								PPROVAL 3235-0287
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	5. 5. Filed pur snue. Section 17(Expires:January 31,Expires:2005Estimated averageburden hours perresponse0.5	
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> HA NGOC TUAN			2. Issuer Name and Ticker or Trading Symbol AVEO PHARMACEUTICALS INC					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle) C/O AVEO PHARMACEUTICALS, INC., 75 SIDNEY STREET			[AVEO] 3. Date of Earliest Transaction (Month/Day/Year) 02/15/2011				X Director 10% Owner X Officer (give title Other (specify below) below) Chief Executive Officer			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
CAMBRIDO	GE, MA 02139							Person	Aore than One Re	eporting
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	on Date, if	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
~				Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	02/15/2011			А	25,000	А	\$0	84,404 (1)	D	
Common Stock								170,329	Ι	Held by GRAT (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: HA NGOC TUAN - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A oi N oi
Employee Stock Option (right-to-buy)	\$ 12.24	02/15/2011		А	61,250	02/15/2011 <u>(3)</u>	12/31/2020	Common Stock	6
Employee Stock Option (right-to-buy)	\$ 14.16	02/15/2011		А	85,000	(4)	02/15/2021	Common Stock	8

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HA NGOC TUAN C/O AVEO PHARMACEUTICALS, INC. 75 SIDNEY STREET CAMBRIDGE, MA 02139	x		Chief Executive Officer				
Signatures							
/s/ Joseph D. Vittiglio, attorney-in-fact	02/17/20	11					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,109 shares acquired under the AVEO employee stock purchase plan on December 31, 2010.

Shares are held by Gabriel Schmergel, Trustee, or his successor in trust, of the Tuan Ha-Ngoc 2009 GRAT. Mr. Schmergel is trustee of
 (2) the Tuan Ha-Ngoc 2009 GRAT and he exercises sole voting and investment power over the shares held of record by such trust. Mr. Ha-Ngoc disclaims beneficial ownership over all such shares, except to the extent of his pecurniary interest therein.

On February 2, 2010, the reporting person was granted an option to purchase 61,250 shares of Issuer common stock. The option vests in two equal installments. The first installment vests based on AVEO's satisfaction of certain performance criteria for the fiscal year ended

- (3) two equal installments. The first installment vests based on A VEO's satisfaction of certain performance criteria for the fiscal year ended installment vests on December 31, 2010. The performance criteria was met, which resulted in vesting of the option as to 50% of the shares. The second installment vests on December 31, 2011.
- (4) This option vests in equal monthly installments from January 1, 2011 through January 1, 2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: HA NGOC TUAN - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.