

Baker David K
Form 4
November 10, 2011

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Baker David K

2. Issuer Name and Ticker or Trading Symbol
American Water Works Company, Inc. [AWK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1025 LAUREL OAK ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/09/2011

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
President, NJ American Water

VOORHEES, NJ 08043

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	11/09/2011	11/09/2011	M	4,252 A \$ 21.5	6,111	D	
Common Stock	11/09/2011	11/09/2011	M	3,897 A \$ 21.5	10,008	D	
Common Stock	11/09/2011	11/09/2011	M	4,014 A \$ 20.7	14,022	D	
Common Stock	11/09/2011	11/09/2011	M	4,617 A \$ 22.26	18,639	D	
Common Stock	11/09/2011	11/09/2011	S	16,780 D \$ 30.82	1,859	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 21.5	11/09/2011	11/09/2011	M	4,252	<u>(1)</u> 12/31/2013	Common Stock	4,252
Employee Stock Option (right to buy)	\$ 21.5	11/09/2011	11/09/2011	M	3,897	<u>(2)</u> 12/31/2014	Common Stock	3,897
Employee Stock Option (right to buy)	\$ 20.7	11/09/2011	11/09/2011	M	4,014	<u>(3)</u> 12/31/2015	Common Stock	4,014
Employee Stock Option (right to buy)	\$ 22.26	11/09/2011	11/09/2011	M	4,617	<u>(4)</u> 12/31/2016	Common Stock	4,617

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

President, NJ American Water

Baker David K
1025 LAUREL OAK ROAD
VOORHEES, NJ 08043

Signatures

/s/ Karen K. Pettiford-Wilson by Power of Attorney previously
filed

11/10/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option Award granted on April 22, 2008, representing a right to purchase a total of 4,317 shares at \$21.50, became exercisable on January 1, 2010.
- (2) The Option Award granted on April 22, 2008, representing a right to purchase a total of 4,712 shares at \$21.50, became exercisable on January 1, 2011.
- (3) The Option Award granted on February 20, 2009, representing a right to purchase a total of 6,712 shares at \$20.70, one-third of which vested on January 1, 2010 and one-third of which vested on January 1, 2011.
- (4) The Option Award granted on February 25, 2010, representing a right to purchase a total of 15,203 shares at \$22.26, one-third of which vested on January 1, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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