## Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

|   | RACLE-GRO                            | CO   |                                     |                     |  |  |         |   |  |   |  |  |
|---|--------------------------------------|--|-------------------------------------|---------------------|--|--|---------|---|--|---|--|--|
| Form 4<br>June 12, 2013   |                                      |  |                                     |                     |  |  |         |   |  |   |  |  |
|   |                                      |  |                                     |                     |  |  |         |   | OMB APPROVAL   |   |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549  |                                      |  |                                     |                     |  |  |         | OMB<br>Number:  | 3235-0287  |   |  |  |
| Check this<br>if no longe<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligations<br>may contin<br><i>See</i> Instruct<br>1(b). | Filed pu<br>Section 17               | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>20(b) of the Investment Company Act of 1940 |                                     |                     |  |  |         |   |  | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |  |  |
| (Print or Type Re   | esponses)                            |  |                                     |                     |  |  |         |   |  |   |  |  |
| HAGEDORN KATHERINE Symbol   |                                      |  |                                     | OTTS MIRACLE-GRO CO |  |  |         | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |  |   |  |  |
|   | (First)<br>COTTS MIRAC<br>14111 SCOT |  | 3. Date of<br>(Month/Da<br>06/10/20 | -                   | Insaction  |  |         | X Director<br>Officer (give<br>below)   | e title Oth<br>below)  |   |  |  |
|   | (Street)                             | (Street) 4. If Amen<br>Filed(Month   |                                     |                     | -  |  |         | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |  |   |  |  |
| MARYSVIL  | LE, OH 43041                         |  |                                     |                     |  |  |         | Form filed by I<br>Person   | More than One Ro   | eporting  |  |  |
| (City)  | (State)                              | (Zip)  | Table                               | e I - Non-De        | erivative S  | ecurit   | ies Ace | quired, Disposed o  | f, or Beneficial   | lly Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction D<br>(Month/Day/Yea   | r) Executionary  | med                                 | 3.                  | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, - | ties<br>(A) or<br>of (D)<br>4 and 5<br>(A)<br>or | :       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                      | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of  |  |  |
| Common<br>Shares  |                                      |  |                                     |                     |  |  |         | 3,010,746   | I  | HPLP (1)  |  |  |
|   | rt on a separate li                  | ne for each cl   | lass of secur                       | ities benefic       | cially owne  | ed dire  | ctly or | indirectly.   |  |   |  |  |

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivativ<br>Securitie:<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | Expiration E<br>(Month/Day<br>e<br>s | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                  | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |         |
|---|---|---|---|---------------------------------------|--|--------------------------------------|--|------------------|---|---------|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable                  | Expiration<br>Date   | Title            | Amount<br>or<br>Number<br>of<br>Shares                              |         |
| Deferred<br>Stock<br>Units                          | (2)   | 06/10/2013                              |   | А                                     | 27   | (2)                                  | (2)  | Common<br>Shares | 27  | \$ 47.2 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 06/10/2013                              |   | А                                     | 22   | (2)                                  | (2)  | Common<br>Shares | 22  | \$ 47.2 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 06/10/2013                              |   | А                                     | 17   | (2)                                  | (2)  | Common<br>Shares | 17  | \$ 47.2 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 06/10/2013                              |   | А                                     | 18   | (2)                                  | (2)  | Common<br>Shares | 18  | \$ 47.2 |
| Deferred<br>Stock<br>Units                          | <u>(2)</u>  | 06/10/2013                              |   | А                                     | 18   | (2)                                  | (2)  | Common<br>Shares | 18  | \$ 47.2 |

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## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| r g the second  | Director      | 10% Owner | Officer | Other |  |  |
| HAGEDORN KATHERINE LITTLEFIELD<br>C/O THE SCOTTS MIRACLE-GRO COMPANY<br>14111 SCOTTSLAWN ROAD<br>MARYSVILLE, OH 43041 | х             | Х         |         |       |  |  |
| Signatures  |               |           |         |       |  |  |
| Kathy L. Uttley as attorney-in-fact for Katherine Ha  | agedorn       |           | 06/12/  | 2013  |  |  |
| **Signature of Reporting Person   |               |           | Dat     | e     |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to Exchange Act Rule 16a-1(a)(1), the reporting person may be deemed, solely for purposes of determining whether she is a beneficial owner of more than 10% of the common shares of the Issuer ("Common Shares"), to be the beneficial owner of the securities of

- the Issuer that are held by Hagedorn Partnership, L.P., a Delaware limited partnership in which the reporting person is a general partner (the "Partnership"). Represents the aggregate proportionate interest of the reporting person and those family members in whose holdings she may be deemed to have a pecuniary interest, in Common Shares held by the Partnership.
- (2) Additional deferred stock units received pursuant to dividend equivalents as a result of dividends paid with respect to the Common Shares of The Scotts Miracle-Gro Company underlying deferred stock units already held.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.