Clean Coal Technologies Inc.

Form 4

November 06, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

Ponce de Leon Ignacio Issuer Symbol Clean Coal Technologies Inc. (Check all applicable) [CCTC] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director X\_ Officer (give title Other (specify (Month/Day/Year) below) 295 MADISON AVENUE, 12TH 10/25/2014 Chief Operating Officer **FLOOR** 

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

> > (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10017

(State)

(City)

| 1.Title of      | 2. Transaction Date | action Date 2A. Deemed 3. |                                   |         | s Acqı | uired        | 5. Amount of     | 6. Ownership | 7. Nature of |
|-----------------|---------------------|---------------------------|-----------------------------------|---------|--------|--------------|------------------|--------------|--------------|
| Security        | (Month/Day/Year)    | Execution Date, if        | Transaction(A) or Disposed of (D) |         |        |              | Securities       | Form: Direct | Indirect     |
| (Instr. 3)      |                     | any                       | Code (Instr. 3, 4 and 5)          |         |        | Beneficially | (D) or           | Beneficial   |              |
|                 |                     | (Month/Day/Year)          | (Instr. 8)                        |         |        |              | Owned            | Indirect (I) | Ownership    |
|                 |                     |                           |                                   |         |        |              | Following        | (Instr. 4)   | (Instr. 4)   |
|                 |                     |                           |                                   |         |        |              | Reported         |              |              |
|                 |                     |                           |                                   |         | (A)    |              | Transaction(s)   |              |              |
|                 |                     |                           |                                   |         | or     |              | (Instr. 3 and 4) |              |              |
|                 |                     |                           | Code V                            | Amount  | (D)    | Price        | ( ,              |              |              |
| Common<br>Stock | 10/25/2014          |                           | D                                 | 500,000 | D      | \$0          | 2,842,847        | D            |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Clean Coal Technologies Inc. - Form 4

| 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date (Month/Day/Year) |   | 4.              | 5.<br>orNumber  | 6. Date Exerc<br>Expiration Da |                    | 7. Title<br>Amou |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|--|--------------------------------------|---|-----------------|---|--------------------------------|--------------------|------------------|--|------------------------|---|
| Security (Instr. 3)    | or Exercise Price of Derivative Security | (Monun Day/ Year)                    | execution Date, if any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/                    |                    | Under<br>Securi  | lying                                  | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |  |                                      |   | Code V          | (A) (D)   | Date<br>Exercisable            | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                         |       |  |  |
|--------------------------------|---------------|-----------|-------------------------|-------|--|--|
| . 9                            | Director      | 10% Owner | Officer                 | Other |  |  |
| Ponce de Leon Ignacio          |               |           |                         |       |  |  |
| 295 MADISON AVENUE, 12TH FLOOR | X             |           | Chief Operating Officer |       |  |  |
| NEW YORK NY 10017              |               |           |                         |       |  |  |

## **Signatures**

/s/ Ignacio Ponce
de Leon

11/06/2014

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

These shares returned to CCTC Treasury.

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