

Stock Yards Bancorp, Inc.  
Form 4  
June 04, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HEINTZMAN DAVID P

2. Issuer Name and Ticker or Trading Symbol  
Stock Yards Bancorp, Inc. [SYBT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
3019 POPPY WAY

3. Date of Earliest Transaction (Month/Day/Year)  
06/02/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman & CEO

(Street)  
LOUISVILLE, KY 40206

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V Amount (D) Price  |   |  |   |
| Common Stock                    | 06/02/2015                           |  | M                              | 4,000 A \$ 24.0667  | 107,107   | D  |   |
| Common Stock                    | 06/02/2015                           |  | S                              | 4,000 D \$ 34.9   | 103,107   | D  |   |
| Common Stock                    |                                      |  |                                |   | 21,850  | I  | By 401k/ESOP - fbo David Heintman                     |
| Common Stock                    |                                      |  |                                |   | 4,041   | I  | By Spouse   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Option (Right to Buy)                      | \$ 24.0667   | 06/02/2015                           |  | M                              | 4,000   | 07/17/2006 01/17/2016                                    | Common Stock 4,000  |
| Option (Right to Buy)                      | \$ 26.83   |                                      |  |                                |   | 08/20/2007 02/20/2017                                    | Common Stock 22,000   |
| Stock Appreciation Right                   | \$ 23.37   |                                      |  |                                |   | 08/19/2008 02/19/2018                                    | Common Stock 13,500   |
| Stock Appreciation Right                   | \$ 22.14   |                                      |  |                                |   | 02/17/2010 02/17/2019                                    | Common Stock 12,300   |
| Stock Appreciation Right                   | \$ 21.03   |                                      |  |                                |   | 02/16/2011 02/16/2020                                    | Common Stock 17,550   |
| Stock Appreciation Right                   | \$ 23.76   |                                      |  |                                |   | 03/15/2012 03/15/2021                                    | Common Stock 14,380   |
| Stock Appreciation Right                   | \$ 22.86   |                                      |  |                                |   | 02/20/2013 02/20/2022                                    | Common Stock 24,270   |
| Stock Appreciation Right                   | \$ 22.89   |                                      |  |                                |   | 02/19/2014 02/19/2023                                    | Common Stock 16,670   |
| Stock Appreciation                         | \$ 29.05   |                                      |  |                                |   | 02/18/2015 02/18/2024                                    | Common Stock 19,320   |

Right

Stock

Appreciation \$ 34.43

Right

03/17/2016 03/17/2025

Common  
Stock

14,49

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |                |       |
|---|---------------|-----------|----------------|-------|
|   | Director      | 10% Owner | Officer        | Other |
| HEINTZMAN DAVID P<br>3019 POPPY WAY<br>LOUISVILLE, KY 40206 | X             |           | Chairman & CEO |       |

## Signatures

//David P.

Heintzman

06/04/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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