Edgar Filing: PNC FINANCIAL SERVICES GROUP INC - Form 4

PNC FINANCIAL SERVICES GROUP INC

Form 4

January 04, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

KELSON RICHARD B

2. Issuer Name and Ticker or Trading

Symbol

PNC FINANCIAL SERVICES GROUP INC [PNC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 01/02/2007

Filed(Month/Day/Year)

X_ Director 10% Owner Officer (give title Other (specify

1025 FIFTH AVENUE, APT. 11A **NORTH**

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

below)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10028

(Zip) (City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. Securities Acquired

Price

1.11116 01	2. Hansaction Date	ZA. Deemed	Э.	4. Securities Acquired	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)		
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	
		(Month/Day/Year)	(Instr. 8)		
				(A)	
				(A)	

2 Transaction Date 2A Deemed

Following Reported Transaction(s)

5. Amount of

Securities

Owned

Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

\$5 Par Common

Stock

01/02/2007

Code V Amount (D) $A^{(1)}$ 68

(Instr. 3 and 4)

443

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: PNC FINANCIAL SERVICES GROUP INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	(2)	07/24/2006		J(3) V	31	<u>(4)</u>	<u>(4)</u>	\$5 Par Common Stock	31	\$ 69.2
Phantom Stock Unit	<u>(2)</u>	10/24/2006		J(3) V	31	<u>(4)</u>	<u>(4)</u>	\$5 Par Common Stock	31	\$ 68.59
Phantom Stock Unit	<u>(2)</u>	07/24/2006		J <u>(5)</u> V	36	<u>(4)</u>	<u>(4)</u>	\$5 Par Common Stock	36	\$ 69.2
Phantom Stock Unit	(2)	10/24/2006		J <u>(5)</u> V	37	<u>(4)</u>	<u>(4)</u>	\$5 Par Common Stock	37	\$ 68.59

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KELSON RICHARD B						
1025 FIFTH AVENUE	X					
APT. 11A NORTH	Λ					
NEW YORK, NY 10028						

Signatures

Lori A. Hasselman, Attorney-in-Fact for Richard B.

Kelson

01/04/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Annual grant pursuant to PNC Directors Share Incentive Plan.
- (2) 1 for 1.

Reporting Owners 2

Edgar Filing: PNC FINANCIAL SERVICES GROUP INC - Form 4

- (3) Phantom Stock Units received as dividend equivalents under the PNC Directors Deferred Compensation Plan.
- (4) Phantom Stock Units will be settled in cash upon distribution from the reporting person's plan account and generally do not expire.
- (5) Phantom Stock Units received as dividend equivalents under the PNC Outside Directors Deferred Stock Unit Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.