

HOME BANCSHARES INC
Form 5
February 05, 2007

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Birch Robert F Jr

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2006

Director 10% Owner
 Officer (give title below) Other (specify below)
Twin City Bank President & CEO

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

CONWAY, AR 72033

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	I	By IRA
Common Stock	Â	Â	Â	Â	9,216.127 (2)	I	By 401(k)
Common Stock	Â	Â	Â	Â	39,009	I	By the Bob Birch Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option	\$ 10.31	Â	Â	Â	Â Â	Date Exercisable: 11/30/2000 ⁽¹⁾ Expiration Date: 11/30/2010 ⁽¹⁾	Common Stock	10,18
Stock Option	\$ 10.31	Â	Â	Â	Â Â	Date Exercisable: 11/30/2001 ⁽¹⁾ Expiration Date: 11/30/2011 ⁽¹⁾	Common Stock	10,18
Stock Option	\$ 10.31	Â	Â	Â	Â Â	Date Exercisable: 11/30/2002 ⁽¹⁾ Expiration Date: 11/30/2012 ⁽¹⁾	Common Stock	10,18
Stock Option	\$ 10.31	Â	Â	Â	Â Â	Date Exercisable: 11/30/2003 ⁽¹⁾ Expiration Date: 11/30/2013 ⁽¹⁾	Common Stock	10,18
Stock Option	\$ 10.31	Â	Â	Â	Â Â	Date Exercisable: 11/30/2004 ⁽¹⁾ Expiration Date: 11/30/2014 ⁽¹⁾	Common Stock	10,18

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Birch Robert F Jr P.O. BOX 966 CONWAY, AR 72033	Â	Â	Â	Â Twin City Bank President & CEO

Signatures

/s/ Robert F. Birch Jr. by Jessica Thurman 01/19/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options were granted by TCBancorp. Upon merger with Home BancShares, these options became 100% vested. However, prior to the merger, all options had vested.
- (2) Includes 6.127 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.
- (3) Includes 1.091 shares acquired through dividend reinvestment since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.