

MCGIMPSEY THOMAS O
 Form 3
 August 09, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â MCGIMPSEY THOMAS O | | (Month/Day/Year) | ADVANCED ENERGY INDUSTRIES INC [AEIS] | |
| (Last) | (First) | (Middle) | 08/08/2011 | |
| 1625 SHARP POINT DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| FORT COLLINS,Â COÂ 80525 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | EVP Gen Counsel & Corp Sec | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 7,669 ⁽¹⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Title | Amount or Number of | | |

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| | | | | Shares | | (I) (Instr. 5) | |
|---|------------|------------|-----------------|----------------------|----------|-------------------|---|
| Employee Stock Option (right to buy) | 04/24/2009 | 04/24/2019 | Common Stock | 5,250 | \$ 7.95 | D | Â |
| Employee Stock Option (right to buy) | 07/21/2009 | 07/21/2019 | Common Stock | 5,250 | \$ 11.21 | D | Â |
| Employee Stock Option (right to buy) | 10/27/2009 | 10/27/2019 | Common Stock | 5,250 | \$ 12.77 | D | Â |
| Employee Stock Option (right to buy) | 02/16/2010 | 02/16/2011 | Common Stock | 10,500 | \$ 15.65 | D | Â |
| Employee Stock Option (right to buy) | 04/20/2010 | 04/20/2020 | Common Stock | 5,250 | \$ 16.25 | D | Â |
| Employee Stock Option (right to buy) | 07/20/2010 | 07/20/2020 | Common Stock | 5,250 | \$ 13.85 | D | Â |
| Employee Stock Option (right to buy) | 10/26/2010 | 10/26/2020 | Common Stock | 5,250 | \$ 14.5 | D | Â |
| Employee Stock Option (right to buy) | 02/15/2011 | 02/15/2021 | Common Stock | 6,250 | \$ 14.52 | D | Â |
| Employee Stock Option (right to buy) | 04/28/2011 | 04/28/2021 | Common Stock | 6,250 | \$ 14.21 | D | Â |
| Employee Stock Option (right to buy) | 07/22/2011 | 07/22/2021 | Common Stock | 6,250 ⁽²⁾ | \$ 12.44 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|---------------------------------|
| | Director | 10% Owner | Officer | Other |
| MCGIMPSEY THOMAS O 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525 | Â | Â | Â | EVP Gen Counsel & Corp Sec Â |

Signatures

/s/ Thomas O.
McGimpsey

08/09/2011

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents 7,451 shares of Restricted Stock Units (RSUs) and 218 shares of Employee Stock Purchase Plan Shares. The RSUs vest in four equal annual installments beginning on the first anniversary of the grant date. Mr. McGimpsey has been granted the following:

- (1) 4/24/2009 750 shares, 7/21/2009 750 shares, 10/27/2009 750 shares, 2/16/2010 1,500 shares, 4/20/2010 750 shares, 7/20/2010 750 shares, 10/26/2010 750 shares, 2/15/2011 1,000 shares, 4/28/2011 1,000 shares, and 7/22/2011 1,000 shares. As of 8/8/2011, 1,135 shares of the RSUs are sellable.

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- (2) Represents a total of 60,750 shares of Employee Stock Options granted (right to buy). Each grant will vest in four equal installments beginning of the anniversary of the grant date. As of the reporting date, 11,809 are vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.