

TD AMERITRADE HOLDING CORP  
Form 4  
August 29, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RICKETTS MARLENE M

2. Issuer Name and Ticker or Trading Symbol  
TD AMERITRADE HOLDING CORP [AMTD]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
C/O HUGO LLC, 1395 S. PLATTE RIVER DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/04/2013

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

DENVER, CO 80223

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3.               |   | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |            |
|---------------------------------|--------------------------------------|--|------------------|---|---|------------|---|--|---|------------|
|                                 |                                      |  | Code             | V | Amount  | (A) or (D) |   |  |   | Price      |
| Common Stock                    | 01/04/2013                           |  | G                | V | 55,866  | A          | \$ 0  | 13,649,068   | D   |            |
| Common Stock                    | 01/04/2013                           |  | G                | V | 55,866  | D          | \$ 0  | 13,593,202   | D   |            |
| Common Stock                    | 02/13/2013                           |  | G                | V | 38,750  | A          | \$ 0  | 13,631,952   | D   |            |
| Common Stock                    | 02/13/2013                           |  | G                | V | 38,750  | D          | \$ 0  | 13,593,202   | D   |            |
| Common Stock                    | 08/27/2013                           |  | J <sup>(1)</sup> |   | 659,558   | D          | \$ 0  | 0  | I   | By Annuity |



## Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

Transfer of shares, for no consideration, to Mrs. Ricketts and other beneficiaries from an annuity trust, for which Mrs. Ricketts was the grantor and a beneficiary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.