Main Street Capital CORP Form 4 January 02, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Section 16.

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* FOSTER VINCENT D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Middle)

Main Street Capital CORP [MAIN] 3. Date of Earliest Transaction

\_X\_ Director

1300 POST OAK BLVD., STE. 800

(First)

(Month/Day/Year) 12/16/2013

10% Owner X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

(Check all applicable)

CEO and President

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77056

	,	Person									
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)	(I) (Instr. 4)		
Common Stock	12/16/2013		P <u>(1)</u>	V	939.188	A	\$ 32.03	1,405,310.0703	D		
Common Stock	12/16/2013		P(1)	V	1,943.7356	A	\$ 32.03	1,407,253.8059	D		
Common Stock	12/16/2013		P(1)	V	416.0322	A	\$ 32.03	1,407,669.8381	D		
Common Stock	12/16/2013		P(1)	V	56.8627	A	\$ 32.03	11,440.0474	I	Foster Irrevocable Trust (2)	
Common Stock	12/16/2013		P(1)	V	15.9692	A	\$ 31.885	3,198.3273	I	Brittany Foster	

									Custodial Account (3)
Common Stock	12/16/2013	P(1)	V	16.3199	A	\$ 31.885	3,268.5529	I	Amy Foster Custodial Account (3)
Common Stock	12/24/2013	P(1)	V	1,441.508	A	\$ 32.77	1,409,111.3461	D	
Common Stock	12/24/2013	P(1)	V	2,983.3329	A	\$ 32.77	1,412,094.679	D	
Common Stock	12/24/2013	P(1)	V	638.545	A	\$ 32.77	1,412,733.224	D	
Common Stock	12/24/2013	P <u>(1)</u>	V	87.2752	A	\$ 32.77	11,527.3226	I	Foster Irrevocable Trust (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. onNumber	6. Date Exerc Expiration Da		7. Title		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/ e		Underly Securit	ying	Security (Instr. 5)	Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
FOSTER VINCENT D 1300 POST OAK BLVD. STE. 800	X		CEO and President						

Reporting Owners 2 HOUSTON, TX 77056

## **Signatures**

/s/ Jason B. Beauvais as Attorney-in-Fact for Vincent D. Foster 01/02/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.
- (2) Shares purchased by irrevocable trust for the benefit of children.
- (3) Shares purchased by custodial account of daughter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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