## Edgar Filing: Main Street Capital CORP - Form 4

| Main Street   | t Capital CORP  |                                      |   |  |            |              |   |            |  |                           |                         |  |
|---|---|--------------------------------------|---|--|------------|--------------|---|------------|--|---------------------------|-------------------------|--|
| Form 4  |   |                                      |   |  |            |              |   |            |  |                           |                         |  |
| March 07, 2   | 2014  |                                      |   |  |            |              |   |            |  |                           |                         |  |
| FORM  |   | ot a tec                             | SECU  | DITIE                                      |            |              | <b>• • • • • •</b>  |            | OMMISSION                                |                           | PROVAL                  |  |
|   | UNITED  | SIAIES                               |   |  |            | , D.C. 205   |   | NGE CO     | UMINII55IUN                              | OMB<br>Number:            | 3235-0287               |  |
| Check t   |   |                                      | F CHANGES IN BENEFICIAL OWNERSHIP OF                              |  |            |              |   |            |  | Expires:                  | January 31,             |  |
| if no loi<br>subject  |   | MENT O                               |   |  |            |              |   |            |  | Estimated average 200     |                         |  |
| Section   |   | SECURITIES                           |   |  |            |              |   |            | burden hours per                         |                           |                         |  |
| Form 4<br>Form 5  |   |                                      |   | 16()                                       | C .1       | a            | -   |            |  | response                  | 0.5                     |  |
| obligati  |   |                                      |   |  |            |              |   | -          | Act of 1934,                             |                           |                         |  |
| may con   | ntinue. Section 170                                     |                                      |   | •  |            | t Company    | - ·   |            | 1935 or Section                          |                           |                         |  |
| <i>See</i> Inst 1(b).   | truction  | 50(II)                               | or the r  | nvestn                                     | icii       | t Compan     | y 1101  | 011740     | ,  |                           |                         |  |
| -(-).   |   |                                      |   |  |            |              |   |            |  |                           |                         |  |
| (Print or Type  | Responses)  |                                      |   |  |            |              |   |            |  |                           |                         |  |
| 1 Name and  | Address of Reporting                                    | Person *                             | <b>2</b> I  | NT   |            | J T: .1      | r   | _          | 5. Relationship of R                     | enorting Pers             | on(s) to                |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Hartman Curtis L. |   |                                      | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol             |  |            |              |   |            | Issuer                                   |                           |                         |  |
|   |   | Main Street Capital CORP [MAIN]      |   |  |            |              | AIN1  |            |  |                           |                         |  |
| (Last)  | (First) (   | Middle)                              |   |  | -          |              | L   |            | (Check                                   | all applicable)           | )                       |  |
| (Last) (Thist) (Middle)   |   |                                      | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>02/14/2014 |  |            |              |   |            | Director 10% Owner                       |                           |                         |  |
| 1300 POST   |   | _X_Officer (give titleOther (specify |   |  |            |              |   |            |  |                           |                         |  |
|   |   |                                      |   |  |            |              |   |            | below)<br>Chief Credi                    | below)<br>t Officer and S | SMD                     |  |
|   | 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |                                      |   |  |            |              | 6. Individual or Joint/Group Filing(Check<br>Applicable Line) |            |  |                           |                         |  |
|   |   |                                      |   |  |            |              |   |            |  |                           |                         |  |
|   |   |                                      |   |  |            |              |   |            | _X_ Form filed by On<br>Form filed by Mo |                           |                         |  |
| HOUSTON   | N, TX 77056   |                                      |   |  |            |              |   |            | Person                                   | te than one Rep           | Johning                 |  |
| (City)  | (State)   | (Zip)                                | Tal   | ble I - N                                  | on-]       | Derivative S | Securi  | ties Acqu  | ired, Disposed of,                       | or Beneficiall            | y Owned                 |  |
| 1.Title of  | 2. Transaction Date                                     | 2A. Deem                             | ed  | 3.   |            | 4. Securitie | s Acq   | uired (A)  | 5. Amount of                             | 6.                        | 7. Nature of            |  |
| Security  | (Month/Day/Year)  | Execution                            | Date, if  | e, if Transactionor Disposed of (D)        |            |              |   |            | Securities                               | -                         | Indirect                |  |
| (Instr. 3)  |   | any<br>(Month/Day/Year)              |   | Code (Instr. 3, 4 and 5)<br>ar) (Instr. 8) |            |              |   |            | Beneficially<br>Owned Following          | Form:                     | Beneficial<br>Ownership |  |
|   |   | (Wonth De                            | ty/ I cui)  | (msu.                                      | 0)         |              | $(\mathbf{A})$  |            | Reported                                 | or Indirect               | (Instr. 4)              |  |
|   |   |                                      |   |  |            |              | (A)<br>or   |            | Transaction(s)                           | (I)<br>(I + 4)            |                         |  |
|   |   |                                      |   | Code                                       | V          | Amount       | (D)   | Price      | (Instr. 3 and 4)                         | (Instr. 4)                |                         |  |
| Common  | 00/14/2014  |                                      |   | <b>D</b> (1)                               | <b>X</b> 7 | (7.05        | ٨   | \$         | 100 (05 0501                             | D                         |                         |  |
| Stock   | 02/14/2014  |                                      |   | $P_{(1)}$                                  | V          | 67.05        | А   | 34.67      | 182,625.0501                             | D                         |                         |  |
| Common  |   |                                      |   | - (1)                                      |            |              |   | \$         |  |                           |                         |  |
| Stock   | 02/14/2014  |                                      |   | P(1)                                       | V          | 27.8956      | А   | ф<br>34.67 | 182,652.9457                             | D                         |                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | Date               | Amou<br>Unde<br>Secur | ele and<br>unt of<br>rlying<br>rities<br>(1, 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-----------------------|---|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares                |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |                 |                              |       |  |  |  |  |
|---|---------------|-----------------|------------------------------|-------|--|--|--|--|
|   | Director      | 10% Owner       | Officer                      | Other |  |  |  |  |
| Hartman Curtis L.<br>1300 POST OAK BLVD.<br>STE. 800<br>HOUSTON, TX 77056 |               |                 | Chief Credit Officer and SMD |       |  |  |  |  |
| Signatures  |               |                 |                              |       |  |  |  |  |
| /s/ Jason B. Beauvais as Attorne<br>Hartman                               | ey-in-Fac     | et for Curtis I | 03/04/2014                   |       |  |  |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.