Xencor Inc Form 3/A April 22, 2014

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

À CARTER BRUCE L A

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/02/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Xencor Inc [XNCR]

C/O XENCOR, INC., 111

WEST LEMON AVENUE

(Street)

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

12/02/2013

(Check all applicable)

10% Owner \_X\_\_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

MONROVIA, CAÂ 91016

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

Form: Direct (D)

or Indirect (I)

SEC 1473 (7-02)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

**Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of Derivative

4.

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Security: Direct (D)

Date Exercisable **Expiration Date** 

Title

Amount or Number of Shares

Security

or Indirect (I)

1

(Instr. 5)

Stock Option (Right to Buy)  $\hat{A} \stackrel{(1)}{=} 09/29/2019\stackrel{(2)}{=} Stock 96,744 \$ 0.59$  D  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARTER BRUCE L A C/O XENCOR, INC. 111 WEST LEMON AVENUE MONROVIA, CAÂ 91016

X Â Â Â

**Signatures** 

/s/ John J. Kuch, Attorney-in-Fact 04/22/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option is fully vested and exercisable.

(2) This Form 3 is being amended to correct the expiration date of this stock option which was originally reported as July 27, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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