

Identiv, Inc.
Form 4
March 04, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Wenzel Daniel S.C.

(Last) (First) (Middle)

39300 CIVIC CENTER DRIVE,
STE 140

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Identiv, Inc. [INVE]

3. Date of Earliest Transaction
(Month/Day/Year)

02/28/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/28/2015		M		2,248	A	\$ 9.67
Common Stock							24,067
Common Stock							745,352
Common Stock							50,257
							D
							I
							I
							See footnote. (1)
							See footnote. (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Restricted Stock Unit	(3)	02/28/2015		M	2,248	(4) (4)	Common Stock	2,248

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wenzel Daniel S.C. 39300 CIVIC CENTER DRIVE, STE 140 FREMONT, CA 94538			X	

Signatures

/s/ Daniel S. C.
Wenzel

03/04/2015

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects 643,166 shares directly held by Mountain Partners AG and 102,186 shares indirectly held by Mountain Partners AG. Mr. Wenzel is a member of the board of directors of Mountain Partners AG. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.

(2) Reflects shares held by Rosenberg Ventures AG of which Mr. Wenzel is a member of the board of directors. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.

(3) Each Restricted Stock Unit represents a contingent right to receive one share of Issuer's common stock.

(4) Restricted Stock Units granted pursuant to Issuer's 2011 Incentive Compensation Plan vesting in four equal quarterly installments beginning 8/31/2014, provided the grant becomes completely vested by the date of the 2015 Annual Stockholder Meeting. Vested shares

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will be delivered to the reporting person on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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